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# Binary Non-Termination in Term Rewriting and Logic Programming

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## Abstract

We present a new syntactic criterion for the automatic detection of non-termination in an abstract setting that encompasses a simplified form of term rewriting and logic programming.

**2012 ACM Subject Classification** Theory of computation  $\rightarrow$  Constraint and logic programming; Theory of computation  $\rightarrow$  Rewrite systems; Theory of computation  $\rightarrow$  Program analysis

**Keywords and phrases** Non-Termination, Term Rewriting, Logic Programming

## 1 Introduction

This paper is concerned with non-termination in structures where one rewrites elements using indexed binary relations. Such structures can be formalised by *abstract reduction systems* (ARSs) [3], *i.e.*, couples  $(A, \Rightarrow_I)$  where  $A$  is a set and  $\Rightarrow_I$  (the rewrite relation) is the union of binary relations on  $A$ , indexed by a set  $I$ , *i.e.*,  $\Rightarrow_I = \bigcup \{\Rightarrow_\iota \mid \iota \in I\}$ . Non-termination in these structures can be formalised as the existence of an infinite rewrite sequence  $a_0 \Rightarrow_{\iota_0} a_1 \Rightarrow_{\iota_1} \dots$ . *Term rewrite systems* (TRSs) and *logic programs* (LPs) are concrete instances of ARSs:  $A$  is the set of finite terms and  $I$  indicates what rule (= a couple of finite terms) is applied at what position. A crucial difference is that the rewrite relation of TRSs relies on instantiation while that of LPs relies on narrowing, *i.e.*, on unification. In this paper, we present a new syntactic criterion for the automatic detection of non-termination in an abstract setting that encompasses a simplified form of term rewriting and logic programming. Namely, we suppose that the rewriting always takes place at the root position of terms (see Def. 4 below). There exist program transformation techniques that make it possible to place oneself in such a context, *e.g.*, the overlap closure [8] in term rewriting or the binary unfoldings [4, 6] in logic programming preserve the non-termination property of the original program.

## 2 Preliminaries

We let  $\mathbb{N}$  denote the set of non-negative integers.

### 2.1 Binary Relations

If  $\Rightarrow$  and  $\Leftarrow$  are binary relations on a set  $A$ , then  $\Rightarrow \circ \Leftarrow$  denotes their *composition*. We let  $\Rightarrow^0$  be the identity relation and, for all  $n \in \mathbb{N}$ ,  $\Rightarrow^{n+1} = (\Rightarrow^n \circ \Rightarrow)$ . Moreover,  $\Rightarrow^* = \bigcup \{\Rightarrow^n \mid n \geq 0\}$  is the *reflexive and transitive closure* of  $\Rightarrow$ . We formalise non-termination as the existence of an infinite sequence of connected elements:

► **Definition 1.** *Let  $\Rightarrow$  be a binary relation on a set  $A$ . A  $\Rightarrow$ -chain is a (possibly infinite) sequence  $a_0, a_1, \dots$  of elements of  $A$  such that  $a_n \Rightarrow a_{n+1}$  for all  $n \in \mathbb{N}$ . We simply write it as  $a_0 \Rightarrow a_1 \Rightarrow \dots$ .*

### 2.2 Terms

We use the same definitions and notations as [3] for terms. From now on, we fix a *signature*  $\Sigma$  (the *function symbols*) together with an infinite countable set  $X$  of *variables*, with  $\Sigma \cap X = \emptyset$ .

We let  $f, g, s$  be function symbols of positive arity and  $0$  be a constant symbol. The set of all *terms* built from  $\Sigma$  and  $X$  is denoted by  $T(\Sigma, X)$ . A *context* is a term with at least one “hole”, represented by  $\square$ , in it. For all terms or contexts  $t$ , we let  $Var(t)$  denote the set of variables occurring in  $t$  and, for all contexts  $c$ , we let  $c[t]$  denote the term or context obtained from  $c$  by replacing all the occurrences of  $\square$  by  $t$ . For all contexts  $c$ , we let  $c^0 = \square$  and, for all  $n \in \mathbb{N}$ ,  $c^{n+1} = c[c^n]$ . Terms are generally denoted by  $a, s, t, u, v$ , variables by  $x, y$  and contexts by  $c$ , possibly with subscripts and quotes.

The set  $S(\Sigma, X)$  of all *substitutions* consists of the functions  $\theta$  from  $X$  to  $T(\Sigma, X)$  such that  $Dom(\theta) = \{x \in X \mid \theta(x) \neq x\}$  is finite. A substitution  $\theta$  is usually written as  $\{x \mapsto \theta(x) \mid x \in Dom(\theta)\}$  and its application to a term  $s$  as  $s\theta$ . A *renaming* is a substitution that is a bijection on  $X$ . The *composition* of substitutions  $\sigma$  and  $\theta$  is denoted as  $\sigma\theta$ . We say that  $\sigma$  is *more general than*  $\theta$  if  $\theta = \sigma\eta$  for some substitution  $\eta$ . We let  $\theta^0 = \emptyset$  (the identity substitution) and, for all  $n \in \mathbb{N}$ ,  $\theta^{n+1} = \theta^n\theta$ .

A term  $s$  is an *instance* of a term  $t$  if  $s = t\theta$  for some  $\theta \in S(\Sigma, X)$ . On the other hand,  $s$  *unifies* with  $t$  if  $s\theta = t\theta$  for some  $\theta \in S(\Sigma, X)$ ; then,  $\theta$  is called a *unifier* of  $s$  and  $t$  and  $mgu(s, t)$  denotes the *most general unifier* (mgu) of  $s$  and  $t$ .

### 2.3 Term Rewriting and Logic Programming

We refer to [3] (resp. [1]) for the basics of term rewriting (resp. logic programming).

► **Definition 2.** A program is a subset of  $T(\Sigma, X)^2$ , every element  $(u, v)$  of which is called a rule, where  $u$  (resp.  $v$ ) is the left-hand side (resp. right-hand side). For each program  $P$ , we let  $\bar{P}$  denote the set of all finite, non-empty, sequences of elements of  $P$ .

In this paper, we only consider ARSs  $(A, \Rightarrow_I)$  such that  $A = T(\Sigma, X)$  and  $I$  is a program. Hence the following simplified definition.

► **Definition 3.** An abstract reduction system (ARS) is a union of binary relations on  $T(\Sigma, X)$  indexed by a program, i.e., it has the form  $\Rightarrow_P = \bigcup\{\Rightarrow_r \subseteq T(\Sigma, X)^2 \mid r \in P\}$  for some program  $P$ . For each ARS  $\Rightarrow_P$  and each  $\omega = (r_1, \dots, r_n)$  in  $\bar{P}$ , we let  $\Rightarrow_\omega = (\Rightarrow_{r_1} \circ \dots \circ \Rightarrow_{r_n})$ .

The next definition introduces term rewrite systems and logic programs as concrete instances of ARSs. For all terms  $s$  and rules  $(u, v)$  and  $(u', v')$ , we write  $(u, v) \ll_s (u', v')$  to denote that  $(u, v)$  is a *variant* of  $(u', v')$  *variable disjoint* with  $s$ , i.e., for some renaming  $\gamma$ , we have  $u = u'\gamma$ ,  $v = v'\gamma$  and  $Var(u) \cap Var(s) = Var(v) \cap Var(s) = \emptyset$ .

► **Definition 4.** For each program  $P$ , we let  $\rightarrow_P = \bigcup\{\rightarrow_r \mid r \in P\}$  and  $\rightsquigarrow_P = \bigcup\{\rightsquigarrow_r \mid r \in P\}$  where, for all  $r \in P$ ,

$$\rightarrow_r = \{(u\theta, v\theta) \in T(\Sigma, X)^2 \mid (u, v) = r, \theta \in S(\Sigma, X)\} \quad (\text{Term Rewriting})$$

$$\rightsquigarrow_r = \{(s, v\theta) \in T(\Sigma, X)^2 \mid (u, v) \ll_s r, \theta = mgu(s, u)\} \quad (\text{Logic Programming})$$

We say that  $\rightarrow_P$  (resp.  $\rightsquigarrow_P$ ) is a term rewrite system (resp. a logic program).

► **Example 5.** Let  $r = (f(x), s(x)) = (u, v)$ . Then,  $f^2(x) \rightarrow_r s(f(x))$  because  $f^2(x) = u\theta$  and  $s(f(x)) = v\theta$  for  $\theta = \{x \mapsto f(x)\}$ . Let  $r' = (f(g(x, 0)), f(x))$  and  $s = f(g(x, x))$ . The rule  $(u', v') = (f(g(x', 0)), f(x'))$  is a variant of  $r'$  variable disjoint with  $s$ . Let  $\theta' = \{x \mapsto 0, x' \mapsto 0\}$ . Then,  $\theta' = mgu(s, u')$  and we have  $s \rightsquigarrow_{r'} v'\theta'$ , i.e.,  $f(g(x, x)) \rightsquigarrow_{r'} f(0)$ .

In term rewriting and in logic programming (modulo a condition), the left-hand side of a rule can be rewritten to the corresponding instance of the right-hand side.

► **Lemma 6.** Let  $r = (u, v)$  be a rule and  $\theta$  be a substitution. We have  $u\theta \rightarrow_r v\theta$  and, if  $Var(v) \subseteq Var(u)$ ,  $u\theta \rightsquigarrow_r v\theta$ .

### 3 Binary Non-Termination

We are interested in *binary chains*, *i.e.*, infinite chains that consist of the repetition of two sequences of rules. There are ARSs that admit such chains but no infinite chain consisting of the repetition of a single sequence (see, *e.g.*,  $\rightarrow_P$  in Ex. 8 and Ex. 9 below). More precisely:

► **Definition 7.** Let  $\Rightarrow_P$  be an ARS and  $\omega_1, \omega_2 \in \overline{P}$ . A  $(\omega_1, \omega_2, \Rightarrow_P)$ -chain is an infinite  $(\Rightarrow_{\omega_1}^* \circ \Rightarrow_{\omega_2})$ -chain.

► **Example 8.** Let  $\Rightarrow_P \in \{\rightarrow_P, \rightsquigarrow_P\}$  where  $P$  is the program that consists of the rules

$$r_1 = (f(x, s(y)), f(s^2(x), y)) \quad r_2 = (f(x, 0), f(s(0), x))$$

(see [13] and TRS\_Standard/Zantema\_15/ex12.xml in [11]). We have the  $(r_1, r_2, \Rightarrow_P)$ -chain:

$$f(s(0), 0) \xrightarrow[r_1]{0} f(s(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s(0), s(0)) \xrightarrow[r_1]{1} f(s^3(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s(0), s^3(0)) \xrightarrow[r_1]{3} \dots$$

► **Example 9.** Let  $\Rightarrow_P \in \{\rightarrow_P, \rightsquigarrow_P\}$  where  $P$  is the program that consists of the rules

$$r_1 = (f(x, s(y)), f(s(x), y)) \quad r_2 = (f(x, 0), f(x, s(x)))$$

(see [13] and TRS\_Standard/Zantema\_15/ex14.xml in [11]). We have the  $(r_1, r_2, \Rightarrow_P)$ -chain:

$$f(0, s(0)) \xrightarrow[r_1]{1} f(s(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s(0), s^2(0)) \xrightarrow[r_1]{2} f(s^3(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s^3(0), s^4(0)) \xrightarrow[r_1]{4} \dots$$

Now, we present a criterion for the detection of binary chains. It is tailored to deal with specific sequences  $\omega_1$  and  $\omega_2$  that each consist of a single rule of a particular form. Intuitively, the rule  $r_1$  of  $\omega_1$  and the rule  $r_2$  of  $\omega_2$  are mutually recursive; in  $r_1$ , a context  $c$  is removed from the left-hand side to the right-hand side while, in  $r_2$ ,  $c$  is added again. Ex. 8 and Ex. 9 are concrete instances, with  $c = s(\square)$ . This is formalised as follows.

► **Definition 10.** A recurrent pair for a program  $P$  is a pair  $(r_1, r_2) \in P^2$  such that

- $r_1 = (f(x, c[y]), f(c^{n_1}[x], y))$  and  $r_2 = (f(x, s), f(c^{n_2}[t], c^{n_3}[x]))$
- $x \neq y$
- $\text{Var}(c) = \text{Var}(s) = \emptyset$
- $t \in \{x, s\}$

► **Example 11.** In Ex. 8, we have  $(n_1, n_2, n_3) = (2, 1, 0)$ ,  $c = s(\square)$  and  $s = t = 0$ . In Ex. 9, we have  $(n_1, n_2, n_3) = (1, 0, 1)$ ,  $c = s(\square)$ ,  $s = 0$  and  $t = x$ .

We show that the existence of a recurrent pair leads to that of a binary chain (see Prop. 20), provided that property (1) below is satisfied. The rest of this section is parametric in an ARS  $\Rightarrow_P$  and a recurrent pair  $(r_1, r_2)$  for  $P$  as in Def. 10, with  $r_1 = (u_1, v_1)$  and  $r_2 = (u_2, v_2)$ . We suppose that we have

$$\forall \theta \in S(\Sigma, X) \quad (u_1\theta \xrightarrow[r_1]{\Rightarrow} v_1\theta) \wedge (u_2\theta \xrightarrow[r_2]{\Rightarrow} v_2\theta) \tag{1}$$

As  $\text{Var}(v_1) \subseteq \text{Var}(u_1)$  and  $\text{Var}(v_2) \subseteq \text{Var}(u_2)$ , by Lem. 6 both  $\rightarrow_P$  and  $\rightsquigarrow_P$  satisfy (1).

For the sake of readability, we introduce the following notation.

► **Definition 12.** For all  $m, n \in \mathbb{N}$ , we let  $f(m, n)$  denote the term  $f(c^m[s], c^n[s])$ .

Then, we have the following two lemmas. Lem. 13 states that  $r_1$  allows one to iteratively move a tower of  $c$ 's from the second to the first argument of  $f$ . Conversely, Lem. 14 states that  $r_2$  allows one to copy a tower of  $c$ 's from the first to the second argument of  $f$  in just one step.

► **Lemma 13.** For all  $m, n \in \mathbb{N}$ ,  $f(m, n) \Rightarrow_{r_1}^n f(n_1 \times n + m, 0)$ .

**Proof.** We proceed by induction on  $n$ .

- (Base:  $n = 0$ ) Here,  $\Rightarrow_{r_1}^n$  is the identity. Hence, for all  $m \in \mathbb{N}$ , we have  $f(m, n) \Rightarrow_{r_1}^n f(m, n)$ , where  $f(m, n) = f(n_1 \times n + m, 0)$ .
- (Induction) Suppose that for some  $n \in \mathbb{N}$  we have  $f(m, n) \Rightarrow_{r_1}^n f(n_1 \times n + m, 0)$  for all  $m \in \mathbb{N}$ . Let  $m \in \mathbb{N}$ . Then,  $f(m, n + 1) = f(c^m[s], c^{n+1}[s]) = u_1\{x \mapsto c^m[s], y \mapsto c^n[s]\}$ . Therefore, by (1), we have  $f(m, n + 1) \Rightarrow_{r_1} v_1\{x \mapsto c^m[s], y \mapsto c^n[s]\}$  where  $v_1\{x \mapsto c^m[s], y \mapsto c^n[s]\} = f(c^{n_1+m}[s], c^n[s]) = f(n_1 + m, n)$ . But, by induction hypothesis, we have  $f(n_1 + m, n) \Rightarrow_{r_1}^n f(n_1 \times n + (n_1 + m), 0)$ , i.e.,  $f(n_1 + m, n) \Rightarrow_{r_1}^n f(n_1 \times (n + 1) + m, 0)$ . Finally,  $f(m, n + 1) \Rightarrow_{r_1}^{n+1} f(n_1 \times (n + 1) + m, 0)$ . ◀

► **Lemma 14.** For all  $m \in \mathbb{N}$ ,  $f(m, 0) \Rightarrow_{r_2} f(m' + n_2, m + n_3)$  where  $m' = 0$  if  $t = s$  and  $m' = m$  if  $t = x$ .

**Proof.** Let  $m \in \mathbb{N}$ . We have  $f(m, 0) = f(c^m[s], s) = u_2\{x \mapsto c^m[s]\}$ . Hence, by (1), we have  $f(m, 0) \Rightarrow_{r_2} v_2\{x \mapsto c^m[s]\}$ .

- If  $t = s$  then  $v_2\{x \mapsto c^m[s]\} = f(c^{n_2}[s], c^{m+n_3}[s]) = f(n_2, m + n_3)$ .
- If  $t = x$  then  $v_2\{x \mapsto c^m[s]\} = f(c^{m+n_2}[s], c^{m+n_3}[s]) = f(m + n_2, m + n_3)$ . ◀

We consider the following polynomials in the indeterminate  $i \in \mathbb{N}$ . We define them in a mutually recursive way, which reflects the mutually recursive nature of  $r_1$  and  $r_2$  and hence facilitates the proof of the existence of a  $(r_1, r_2, \Rightarrow_P)$ -chain (Prop. 20 below).

► **Definition 15.** We let

- $\Pi_0(i) = n_2$  and  $\Pi'_0(i) = n_3$
  - $\Pi_{n+1}(i) = \Delta_n(i) + n_2$  and  $\Pi'_{n+1}(i) = \Delta'_n(i) + n_3$  for all  $n \in \mathbb{N}$
- where, for all  $n \in \mathbb{N}$ ,
- $\Delta_n(i) = 0$  if  $t = s$  and  $\Delta_n(i) = \Delta'_n(i)$  if  $t = x$
  - $\Delta'_n(i) = i\Pi'_n(i) + \Pi_n(i)$ .

► **Example 16.** In Ex. 9, we have  $t = x$  and  $(n_1, n_2, n_3) = (1, 0, 1)$ . Hence:

- $\Pi_0(i) = n_2 = 0$  and  $\Pi'_0(i) = n_3 = 1$
- $\Pi_1(i) = \Delta_0(i) + n_2 = \Delta'_0(i) = i\Pi'_0(i) + \Pi_0(i) = i$
- $\Pi'_1(i) = \Delta'_0(i) + n_3 = i + 1$
- $\Pi_2(i) = \Delta_1(i) + n_2 = \Delta'_1(i) = i\Pi'_1(i) + \Pi_1(i) = i^2 + i + i = i^2 + 2i$
- $\Pi'_2(i) = \Delta'_1(i) + n_3 = i^2 + 2i + 1$

The next lemma provides a simpler form of  $\Pi$  and  $\Pi'$  for the case  $t = s$  (the case  $t = x$  is more intricate).

► **Lemma 17.** If  $t = s$  then, for all  $n \in \mathbb{N}$ ,  $\Pi_n(i) = n_2$  and  $\Pi'_n(i) = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$ .

**Proof.** Suppose that  $t = s$ . Then, for all  $n \in \mathbb{N}$ ,  $\Delta_n(i) = 0$ , so  $\Pi_{n+1}(i) = n_2$ . As  $\Pi_0(i) = n_2$  also, for all  $n \in \mathbb{N}$  we have  $\Pi_n(i) = n_2$ . Now, we prove that  $\Pi'_n(i) = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$ . We proceed by induction on  $n$ .

- (Base:  $n = 0$ ) We have  $\Pi'_n(i) = n_3 = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$ .
- (Induction) Suppose that the property holds for some  $n \in \mathbb{N}$ . We have  $\Pi'_{n+1}(i) = \Delta'_n(i) + n_3 = i\Pi'_n(i) + \Pi_n(i) + n_3$ . But, as  $t = s$ ,  $\Pi_n(i) = n_2$  and, by induction hypothesis,  $\Pi'_n(i) = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$ . So,  $\Pi'_{n+1}(i) = i(n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k) + n_2 + n_3 = n_3 i^{n+1} + \sum_{k=0}^n (n_2 + n_3) i^k$ .

◀

► **Example 18.** In Ex. 8, we have  $t = s$  and  $(n_1, n_2, n_3) = (2, 1, 0)$ . Hence, by Lem. 17, we have  $\Pi_n(i) = 1$  and  $\Pi'_n(i) = \sum_{k=0}^{n-1} i^k$  for all  $n \in \mathbb{N}$ .

Using  $\Pi$  and  $\Pi'$ , we define the set of terms  $A$ :

► **Definition 19.** We let  $A = \{a_n = f(\Pi_n(n_1), \Pi'_n(n_1)) \mid n \in \mathbb{N}\}$ .

Now we prove the existence of the  $(r_1, r_2, \Rightarrow_P)$ -chain

$$a_0 \left( \begin{array}{c} \xRightarrow{r_1} \\ \xRightarrow{r_2} \end{array} \right) a_1 \left( \begin{array}{c} \xRightarrow{r_1} \\ \xRightarrow{r_2} \end{array} \right) a_2 \left( \begin{array}{c} \xRightarrow{r_1} \\ \xRightarrow{r_2} \end{array} \right) \dots$$

► **Proposition 20.** For all  $n \in \mathbb{N}$ , we have  $a_n \xRightarrow{r_1} a_{n+1}$ .

**Proof.** Let  $n \in \mathbb{N}$ . We have  $a_n = f(\Pi_n(n_1), \Pi'_n(n_1))$ . By Lem. 13 and Lem. 14,

$$a_n \xRightarrow{r_1} f \left( \underbrace{n_1 \times \Pi'_n(n_1) + \Pi_n(n_1)}_{\Delta'_n(n_1)}, 0 \right) \xRightarrow{r_2} f \left( m, \underbrace{\Delta'_n(n_1) + n_3}_{\Pi'_{n+1}(n_1)} \right)$$

where  $m = n_2 = \Pi_{n+1}(n_1)$  if  $t = s$  and  $m = \Delta'_n(n_1) + n_2 = \Pi_{n+1}(n_1)$  if  $t = x$ . Hence,  $a_n \xRightarrow{r_1} a_{n+1}$ .

◀

► **Example 21.** In Ex. 8, we have  $\Pi_n(i) = 1$  and  $\Pi'_n(i) = \sum_{k=0}^{n-1} i^k$  for all  $n \in \mathbb{N}$  (see Ex. 18). We also have  $n_1 = 2$  and the  $(r_1, r_2, \Rightarrow_P)$ -chain:

$$\underbrace{f(s(0), 0)}_{a_0} \xRightarrow{r_1} f(s(0), 0) \xRightarrow{r_2} \underbrace{f(s(0), s(0))}_{a_1} \xRightarrow{r_1} f(s^3(0), 0) \xRightarrow{r_2} \underbrace{f(s(0), s^3(0))}_{a_2} \xRightarrow{r_1} \dots$$

► **Example 22.** In Ex. 9, we have  $\Pi_0(n_1) = 0$ ,  $\Pi'_0(n_1) = 1$ ,  $\Pi_1(n_1) = 1$ ,  $\Pi'_1(n_1) = 2$ ,  $\Pi_2(n_1) = 3$ ,  $\Pi'_2(i) = 4, \dots$  (see Ex. 16). We have the  $(r_1, r_2, \Rightarrow_P)$ -chain:

$$\underbrace{f(0, s(0))}_{a_0} \xRightarrow{r_1} f(s(0), 0) \xRightarrow{r_2} \underbrace{f(s(0), s^2(0))}_{a_1} \xRightarrow{r_1} f(s^3(0), 0) \xRightarrow{r_2} \underbrace{f(s^3(0), s^4(0))}_{a_2} \xRightarrow{r_1} \dots$$

## 4 Future Work and Implementation

We plan to investigate how our work relates to the forms of non-termination detected by the approaches of [5, 7, 12]. We have no clear idea for the moment.

Our tool NTI (Non-Termination Inference) [9] is designed to automatically prove the existence of infinite chains in TRSs and in LPs. It first transforms the original program  $P$  into a program  $P'$ : for TRSs, it uses the dependency pairs combined with a variant of the overlap closure [10] and, for LPs, it uses the binary unfolding [4, 6]. By [2, 4, 8], non-termination of  $P'$  implies that of  $P$ . Then, it detects recurrent pairs (Def. 10), hence binary chains (Prop. 20), in  $P'$ .

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