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Binary Non-Termination in Term Rewriting and Logic Programming

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Abstract

We present a new syntactic criterion for the automatic detection of non-termination in an abstract setting that encompasses a simplified form of term rewriting and logic programming.

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1 Introduction

This paper is concerned with non-termination in structures where one rewrites elements using indexed binary relations. Such structures can be formalised by *abstract reduction systems* (ARSs) [3], *i.e.*, couples (A, \Rightarrow_I) where A is a set and \Rightarrow_I (the rewrite relation) is the union of binary relations on A , indexed by a set I , *i.e.*, $\Rightarrow_I = \bigcup \{\Rightarrow_\iota \mid \iota \in I\}$. Non-termination in these structures can be formalised as the existence of an infinite rewrite sequence $a_0 \Rightarrow_{\iota_0} a_1 \Rightarrow_{\iota_1} \dots$. *Term rewrite systems* (TRSs) and *logic programs* (LPs) are concrete instances of ARSs: A is the set of finite terms and I indicates what rule (= a couple of finite terms) is applied at what position. A crucial difference is that the rewrite relation of TRSs relies on instantiation while that of LPs relies on narrowing, *i.e.*, on unification. In this paper, we present a new syntactic criterion for the automatic detection of non-termination in an abstract setting that encompasses a simplified form of term rewriting and logic programming. Namely, we suppose that the rewriting always takes place at the root position of terms (see Def. 4 below). There exist program transformation techniques that make it possible to place oneself in such a context, *e.g.*, the overlap closure [8] in term rewriting or the binary unfoldings [4, 6] in logic programming preserve the non-termination property of the original program.

2 Preliminaries

We let \mathbb{N} denote the set of non-negative integers.

2.1 Binary Relations

If \Rightarrow and \Leftarrow are binary relations on a set A , then $\Rightarrow \circ \Leftarrow$ denotes their *composition*. We let \Rightarrow^0 be the identity relation and, for all $n \in \mathbb{N}$, $\Rightarrow^{n+1} = (\Rightarrow^n \circ \Rightarrow)$. Moreover, $\Rightarrow^* = \bigcup \{\Rightarrow^n \mid n \geq 0\}$ is the *reflexive and transitive closure* of \Rightarrow . We formalise non-termination as the existence of an infinite sequence of connected elements:

► **Definition 1.** *Let \Rightarrow be a binary relation on a set A . A \Rightarrow -chain is a (possibly infinite) sequence a_0, a_1, \dots of elements of A such that $a_n \Rightarrow a_{n+1}$ for all $n \in \mathbb{N}$. We simply write it as $a_0 \Rightarrow a_1 \Rightarrow \dots$.*

2.2 Terms

We use the same definitions and notations as [3] for terms. From now on, we fix a *signature* Σ (the *function symbols*) together with an infinite countable set X of *variables*, with $\Sigma \cap X = \emptyset$.

We let f, g, s be function symbols of positive arity and 0 be a constant symbol. The set of all *terms* built from Σ and X is denoted by $T(\Sigma, X)$. A *context* is a term with at least one “hole”, represented by \square , in it. For all terms or contexts t , we let $\text{Var}(t)$ denote the set of variables occurring in t and, for all contexts c , we let $c[t]$ denote the term or context obtained from c by replacing all the occurrences of \square by t . For all contexts c , we let $c^0 = \square$ and, for all $n \in \mathbb{N}$, $c^{n+1} = c[c^n]$. Terms are generally denoted by a, s, t, u, v , variables by x, y and contexts by c , possibly with subscripts and quotes.

The set $S(\Sigma, X)$ of all *substitutions* consists of the functions θ from X to $T(\Sigma, X)$ such that $\text{Dom}(\theta) = \{x \in X \mid \theta(x) \neq x\}$ is finite. A substitution θ is usually written as $\{x \mapsto \theta(x) \mid x \in \text{Dom}(\theta)\}$ and its application to a term s as $s\theta$. A *renaming* is a substitution that is a bijection on X . The *composition* of substitutions σ and θ is denoted as $\sigma\theta$. We say that σ is *more general than* θ if $\theta = \sigma\eta$ for some substitution η . We let $\theta^0 = \emptyset$ (the identity substitution) and, for all $n \in \mathbb{N}$, $\theta^{n+1} = \theta^n\theta$.

A term s is an *instance* of a term t if $s = t\theta$ for some $\theta \in S(\Sigma, X)$. On the other hand, s *unifies* with t if $s\theta = t\theta$ for some $\theta \in S(\Sigma, X)$; then, θ is called a *unifier* of s and t and $\text{mgu}(s, t)$ denotes the *most general unifier* (mgu) of s and t .

2.3 Term Rewriting and Logic Programming

We refer to [3] (resp. [1]) for the basics of term rewriting (resp. logic programming).

► **Definition 2.** A program is a subset of $T(\Sigma, X)^2$, every element (u, v) of which is called a rule, where u (resp. v) is the left-hand side (resp. right-hand side). For each program P , we let \bar{P} denote the set of all finite, non-empty, sequences of elements of P .

In this paper, we only consider ARSs (A, \Rightarrow_I) such that $A = T(\Sigma, X)$ and I is a program. Hence the following simplified definition.

► **Definition 3.** An abstract reduction system (ARS) is a union of binary relations on $T(\Sigma, X)$ indexed by a program, i.e., it has the form $\Rightarrow_P = \bigcup\{\Rightarrow_r \subseteq T(\Sigma, X)^2 \mid r \in P\}$ for some program P . For each ARS \Rightarrow_P and each $\omega = (r_1, \dots, r_n)$ in \bar{P} , we let $\Rightarrow_\omega = (\Rightarrow_{r_1} \circ \dots \circ \Rightarrow_{r_n})$.

The next definition introduces term rewrite systems and logic programs as concrete instances of ARSs. For all terms s and rules (u, v) and (u', v') , we write $(u, v) \ll_s (u', v')$ to denote that (u, v) is a *variant* of (u', v') *variable disjoint* with s , i.e., for some renaming γ , we have $u = u'\gamma$, $v = v'\gamma$ and $\text{Var}(u) \cap \text{Var}(s) = \text{Var}(v) \cap \text{Var}(s) = \emptyset$.

► **Definition 4.** For each program P , we let $\rightarrow_P = \bigcup\{\rightarrow_r \mid r \in P\}$ and $\rightsquigarrow_P = \bigcup\{\rightsquigarrow_r \mid r \in P\}$ where, for all $r \in P$,

$$\rightarrow_r = \{(u\theta, v\theta) \in T(\Sigma, X)^2 \mid (u, v) = r, \theta \in S(\Sigma, X)\} \quad (\text{Term Rewriting})$$

$$\rightsquigarrow_r = \{(s, v\theta) \in T(\Sigma, X)^2 \mid (u, v) \ll_s r, \theta = \text{mgu}(s, u)\} \quad (\text{Logic Programming})$$

We say that \rightarrow_P (resp. \rightsquigarrow_P) is a term rewrite system (resp. a logic program).

► **Example 5.** Let $r = (f(x), s(x)) = (u, v)$. Then, $f^2(x) \rightarrow_r s(f(x))$ because $f^2(x) = u\theta$ and $s(f(x)) = v\theta$ for $\theta = \{x \mapsto f(x)\}$. Let $r' = (f(g(x, 0)), f(x))$ and $s = f(g(x, x))$. The rule $(u', v') = (f(g(x', 0)), f(x'))$ is a variant of r' variable disjoint with s . Let $\theta' = \{x \mapsto 0, x' \mapsto 0\}$. Then, $\theta' = \text{mgu}(s, u')$ and we have $s \rightsquigarrow_{r'} v'\theta'$, i.e., $f(g(x, x)) \rightsquigarrow_{r'} f(0)$.

In term rewriting and in logic programming (modulo a condition), the left-hand side of a rule can be rewritten to the corresponding instance of the right-hand side.

► **Lemma 6.** Let $r = (u, v)$ be a rule and θ be a substitution. We have $u\theta \rightarrow_r v\theta$ and, if $\text{Var}(v) \subseteq \text{Var}(u)$, $u\theta \rightsquigarrow_r v\theta$.

3 Binary Non-Termination

We are interested in *binary chains*, *i.e.*, infinite chains that consist of the repetition of two sequences of rules. There are ARSs that admit such chains but no infinite chain consisting of the repetition of a single sequence (see, *e.g.*, \rightarrow_P in Ex. 8 and Ex. 9 below). More precisely:

► **Definition 7.** Let \Rightarrow_P be an ARS and $\omega_1, \omega_2 \in \overline{P}$. A $(\omega_1, \omega_2, \Rightarrow_P)$ -chain is an infinite $(\Rightarrow_{\omega_1}^* \circ \Rightarrow_{\omega_2})$ -chain.

► **Example 8.** Let $\Rightarrow_P \in \{\rightarrow_P, \rightsquigarrow_P\}$ where P is the program that consists of the rules

$$r_1 = (f(x, s(y)), f(s^2(x), y)) \quad r_2 = (f(x, 0), f(s(0), x))$$

(see [13] and TRS_Standard/Zantema_15/ex12.xml in [11]). We have the $(r_1, r_2, \Rightarrow_P)$ -chain:

$$f(s(0), 0) \xrightarrow[r_1]{0} f(s(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s(0), s(0)) \xrightarrow[r_1]{1} f(s^3(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s(0), s^3(0)) \xrightarrow[r_1]{3} \dots$$

► **Example 9.** Let $\Rightarrow_P \in \{\rightarrow_P, \rightsquigarrow_P\}$ where P is the program that consists of the rules

$$r_1 = (f(x, s(y)), f(s(x), y)) \quad r_2 = (f(x, 0), f(x, s(x)))$$

(see [13] and TRS_Standard/Zantema_15/ex14.xml in [11]). We have the $(r_1, r_2, \Rightarrow_P)$ -chain:

$$f(0, s(0)) \xrightarrow[r_1]{1} f(s(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s(0), s^2(0)) \xrightarrow[r_1]{2} f(s^3(0), 0) \xrightarrow[r_2]{\Rightarrow} f(s^3(0), s^4(0)) \xrightarrow[r_1]{4} \dots$$

Now, we present a criterion for the detection of binary chains. It is tailored to deal with specific sequences ω_1 and ω_2 that each consist of a single rule of a particular form. Intuitively, the rule r_1 of ω_1 and the rule r_2 of ω_2 are mutually recursive; in r_1 , a context c is removed from the left-hand side to the right-hand side while, in r_2 , c is added again. Ex. 8 and Ex. 9 are concrete instances, with $c = s(\square)$. This is formalised as follows.

► **Definition 10.** A recurrent pair for a program P is a pair $(r_1, r_2) \in P^2$ such that

- $r_1 = (f(x, c[y]), f(c^{n_1}[x], y))$ and $r_2 = (f(x, s), f(c^{n_2}[t], c^{n_3}[x]))$
- $x \neq y$
- $\text{Var}(c) = \text{Var}(s) = \emptyset$
- $t \in \{x, s\}$

► **Example 11.** In Ex. 8, we have $(n_1, n_2, n_3) = (2, 1, 0)$, $c = s(\square)$ and $s = t = 0$. In Ex. 9, we have $(n_1, n_2, n_3) = (1, 0, 1)$, $c = s(\square)$, $s = 0$ and $t = x$.

We show that the existence of a recurrent pair leads to that of a binary chain (see Prop. 20), provided that property (1) below is satisfied. The rest of this section is parametric in an ARS \Rightarrow_P and a recurrent pair (r_1, r_2) for P as in Def. 10, with $r_1 = (u_1, v_1)$ and $r_2 = (u_2, v_2)$. We suppose that we have

$$\forall \theta \in S(\Sigma, X) \quad (u_1\theta \xrightarrow[r_1]{\Rightarrow} v_1\theta) \wedge (u_2\theta \xrightarrow[r_2]{\Rightarrow} v_2\theta) \tag{1}$$

As $\text{Var}(v_1) \subseteq \text{Var}(u_1)$ and $\text{Var}(v_2) \subseteq \text{Var}(u_2)$, by Lem. 6 both \rightarrow_P and \rightsquigarrow_P satisfy (1).

For the sake of readability, we introduce the following notation.

► **Definition 12.** For all $m, n \in \mathbb{N}$, we let $f(m, n)$ denote the term $f(c^m[s], c^n[s])$.

Then, we have the following two lemmas. Lem. 13 states that r_1 allows one to iteratively move a tower of c 's from the second to the first argument of f . Conversely, Lem. 14 states that r_2 allows one to copy a tower of c 's from the first to the second argument of f in just one step.

► **Lemma 13.** For all $m, n \in \mathbb{N}$, $f(m, n) \Rightarrow_{r_1}^n f(n_1 \times n + m, 0)$.

Proof. We proceed by induction on n .

- (Base: $n = 0$) Here, $\Rightarrow_{r_1}^n$ is the identity. Hence, for all $m \in \mathbb{N}$, we have $f(m, n) \Rightarrow_{r_1}^n f(m, n)$, where $f(m, n) = f(n_1 \times n + m, 0)$.
- (Induction) Suppose that for some $n \in \mathbb{N}$ we have $f(m, n) \Rightarrow_{r_1}^n f(n_1 \times n + m, 0)$ for all $m \in \mathbb{N}$. Let $m \in \mathbb{N}$. Then, $f(m, n + 1) = f(c^m[s], c^{n+1}[s]) = u_1\{x \mapsto c^m[s], y \mapsto c^n[s]\}$. Therefore, by (1), we have $f(m, n + 1) \Rightarrow_{r_1} v_1\{x \mapsto c^m[s], y \mapsto c^n[s]\}$ where $v_1\{x \mapsto c^m[s], y \mapsto c^n[s]\} = f(c^{n_1+m}[s], c^n[s]) = f(n_1 + m, n)$. But, by induction hypothesis, we have $f(n_1 + m, n) \Rightarrow_{r_1}^n f(n_1 \times n + (n_1 + m), 0)$, i.e., $f(n_1 + m, n) \Rightarrow_{r_1}^n f(n_1 \times (n + 1) + m, 0)$. Finally, $f(m, n + 1) \Rightarrow_{r_1}^{n+1} f(n_1 \times (n + 1) + m, 0)$. ◀

► **Lemma 14.** For all $m \in \mathbb{N}$, $f(m, 0) \Rightarrow_{r_2} f(m' + n_2, m + n_3)$ where $m' = 0$ if $t = s$ and $m' = m$ if $t = x$.

Proof. Let $m \in \mathbb{N}$. We have $f(m, 0) = f(c^m[s], s) = u_2\{x \mapsto c^m[s]\}$. Hence, by (1), we have $f(m, 0) \Rightarrow_{r_2} v_2\{x \mapsto c^m[s]\}$.

- If $t = s$ then $v_2\{x \mapsto c^m[s]\} = f(c^{n_2}[s], c^{m+n_3}[s]) = f(n_2, m + n_3)$.
- If $t = x$ then $v_2\{x \mapsto c^m[s]\} = f(c^{m+n_2}[s], c^{m+n_3}[s]) = f(m + n_2, m + n_3)$. ◀

We consider the following polynomials in the indeterminate $i \in \mathbb{N}$. We define them in a mutually recursive way, which reflects the mutually recursive nature of r_1 and r_2 and hence facilitates the proof of the existence of a $(r_1, r_2, \Rightarrow_P)$ -chain (Prop. 20 below).

► **Definition 15.** We let

- $\Pi_0(i) = n_2$ and $\Pi'_0(i) = n_3$
- $\Pi_{n+1}(i) = \Delta_n(i) + n_2$ and $\Pi'_{n+1}(i) = \Delta'_n(i) + n_3$ for all $n \in \mathbb{N}$ where, for all $n \in \mathbb{N}$,
- $\Delta_n(i) = 0$ if $t = s$ and $\Delta_n(i) = \Delta'_n(i)$ if $t = x$
- $\Delta'_n(i) = i\Pi'_n(i) + \Pi_n(i)$.

► **Example 16.** In Ex. 9, we have $t = x$ and $(n_1, n_2, n_3) = (1, 0, 1)$. Hence:

- $\Pi_0(i) = n_2 = 0$ and $\Pi'_0(i) = n_3 = 1$
- $\Pi_1(i) = \Delta_0(i) + n_2 = \Delta'_0(i) = i\Pi'_0(i) + \Pi_0(i) = i$
- $\Pi'_1(i) = \Delta'_0(i) + n_3 = i + 1$
- $\Pi_2(i) = \Delta_1(i) + n_2 = \Delta'_1(i) = i\Pi'_1(i) + \Pi_1(i) = i^2 + i + i = i^2 + 2i$
- $\Pi'_2(i) = \Delta'_1(i) + n_3 = i^2 + 2i + 1$

The next lemma provides a simpler form of Π and Π' for the case $t = s$ (the case $t = x$ is more intricate).

► **Lemma 17.** If $t = s$ then, for all $n \in \mathbb{N}$, $\Pi_n(i) = n_2$ and $\Pi'_n(i) = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$.

Proof. Suppose that $t = s$. Then, for all $n \in \mathbb{N}$, $\Delta_n(i) = 0$, so $\Pi_{n+1}(i) = n_2$. As $\Pi_0(i) = n_2$ also, for all $n \in \mathbb{N}$ we have $\Pi_n(i) = n_2$. Now, we prove that $\Pi'_n(i) = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$. We proceed by induction on n .

- (Base: $n = 0$) We have $\Pi'_n(i) = n_3 = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$.
- (Induction) Suppose that the property holds for some $n \in \mathbb{N}$. We have $\Pi'_{n+1}(i) = \Delta'_n(i) + n_3 = i\Pi'_n(i) + \Pi_n(i) + n_3$. But, as $t = s$, $\Pi_n(i) = n_2$ and, by induction hypothesis, $\Pi'_n(i) = n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k$. So, $\Pi'_{n+1}(i) = i(n_3 i^n + \sum_{k=0}^{n-1} (n_2 + n_3) i^k) + n_2 + n_3 = n_3 i^{n+1} + \sum_{k=0}^n (n_2 + n_3) i^k$.

◀

► **Example 18.** In Ex. 8, we have $t = s$ and $(n_1, n_2, n_3) = (2, 1, 0)$. Hence, by Lem. 17, we have $\Pi_n(i) = 1$ and $\Pi'_n(i) = \sum_{k=0}^{n-1} i^k$ for all $n \in \mathbb{N}$.

Using Π and Π' , we define the set of terms A :

► **Definition 19.** We let $A = \{a_n = f(\Pi_n(n_1), \Pi'_n(n_1)) \mid n \in \mathbb{N}\}$.

Now we prove the existence of the $(r_1, r_2, \Rightarrow_P)$ -chain

$$a_0 \left(\begin{array}{c} \xRightarrow{r_1} \\ \xRightarrow{r_2} \end{array} \right) a_1 \left(\begin{array}{c} \xRightarrow{r_1} \\ \xRightarrow{r_2} \end{array} \right) a_2 \left(\begin{array}{c} \xRightarrow{r_1} \\ \xRightarrow{r_2} \end{array} \right) \dots$$

► **Proposition 20.** For all $n \in \mathbb{N}$, we have $a_n \xRightarrow{r_1} \Pi'_n(n_1) \circ \xRightarrow{r_2} a_{n+1}$.

Proof. Let $n \in \mathbb{N}$. We have $a_n = f(\Pi_n(n_1), \Pi'_n(n_1))$. By Lem. 13 and Lem. 14,

$$a_n \xRightarrow{r_1} \Pi'_n(n_1) f \left(\underbrace{n_1 \times \Pi'_n(n_1) + \Pi_n(n_1)}_{\Delta'_n(n_1)}, 0 \right) \xRightarrow{r_2} f \left(m, \underbrace{\Delta'_n(n_1) + n_3}_{\Pi'_{n+1}(n_1)} \right)$$

where $m = n_2 = \Pi_{n+1}(n_1)$ if $t = s$ and $m = \Delta'_n(n_1) + n_2 = \Pi_{n+1}(n_1)$ if $t = x$. Hence, $a_n \xRightarrow{r_1} \Pi'_n(n_1) \circ \xRightarrow{r_2} a_{n+1}$.

◀

► **Example 21.** In Ex. 8, we have $\Pi_n(i) = 1$ and $\Pi'_n(i) = \sum_{k=0}^{n-1} i^k$ for all $n \in \mathbb{N}$ (see Ex. 18). We also have $n_1 = 2$ and the $(r_1, r_2, \Rightarrow_P)$ -chain:

$$\underbrace{f(s(0), 0)}_{a_0} \xRightarrow{r_1} \Pi'_0(n_1) f(s(0), 0) \xRightarrow{r_2} \underbrace{f(s(0), s(0))}_{a_1} \xRightarrow{r_1} \Pi'_1(n_1) f(s^3(0), 0) \xRightarrow{r_2} \underbrace{f(s(0), s^3(0))}_{a_2} \xRightarrow{r_1} \Pi'_2(n_1) \dots$$

► **Example 22.** In Ex. 9, we have $\Pi_0(n_1) = 0$, $\Pi'_0(n_1) = 1$, $\Pi_1(n_1) = 1$, $\Pi'_1(n_1) = 2$, $\Pi_2(n_1) = 3$, $\Pi'_2(i) = 4, \dots$ (see Ex. 16). We have the $(r_1, r_2, \Rightarrow_P)$ -chain:

$$\underbrace{f(0, s(0))}_{a_0} \xRightarrow{r_1} \Pi'_0(n_1) f(s(0), 0) \xRightarrow{r_2} \underbrace{f(s(0), s^2(0))}_{a_1} \xRightarrow{r_1} \Pi'_1(n_1) f(s^3(0), 0) \xRightarrow{r_2} \underbrace{f(s^3(0), s^4(0))}_{a_2} \xRightarrow{r_1} \Pi'_2(n_1) \dots$$

4 Future Work and Implementation

We plan to investigate how our work relates to the forms of non-termination detected by the approaches of [5, 7, 12]. We have no clear idea for the moment.

Our tool NTI (Non-Termination Inference) [9] is designed to automatically prove the existence of infinite chains in TRSs and in LPs. It first transforms the original program P into a program P' : for TRSs, it uses the dependency pairs combined with a variant of the overlap closure [10] and, for LPs, it uses the binary unfolding [4, 6]. By [2, 4, 8], non-termination of P' implies that of P . Then, it detects recurrent pairs (Def. 10), hence binary chains (Prop. 20), in P' .

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