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Marianne Morillon

► **To cite this version:**

| Marianne Morillon. Hyperplanes in matroids ans the Axiom of Choice. 2021. hal-03426458

**HAL Id: hal-03426458**

**<https://hal.univ-reunion.fr/hal-03426458>**

Preprint submitted on 12 Nov 2021

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# HYPERPLANES IN MATROIDS AND THE AXIOM OF CHOICE

MARIANNE MORILLON

**ABSTRACT.** We show that in set-theory without the axiom of choice **ZF**, the statement **sH**: “Every proper closed subset of a finitary matroid is the intersection of hyperplanes including it” implies **AC<sup>fin</sup>**, the axiom of choice for (nonempty) finite sets. We also provide an equivalent of the statement **AC<sup>fin</sup>** in terms of “graphic” matroids. Several open questions stay open in **ZF**, for example: does **sH** imply the Axiom of Choice?

## 1. INTRODUCTION

A *choice function* for a family  $(A_i)_{i \in I}$  of nonempty sets is a family  $(x_i)_{i \in I}$  such that for every  $i \in I$ ,  $x_i \in A_i$ . The Axiom of Choice (**AC**) is the following statement: “*Every family of nonempty sets has a choice function.*” We work in set theory without the axiom of choice **ZF**. We shall also consider the more general set theory **ZFA** (see [8, p. 44-45]), a modified version of set theory, in which “atoms” (*i.e.* nonempty objects which are not sets) are allowed. Consider the statement **VB** (Vector Basis): “*Every vector space has a basis*” (see [7, Note 75 p. 271]). It is known that in **ZFA**, **VB** implies the Multiple Choice axiom **MC** ([7, form 67]), and that in **ZF**, **MC** is equivalent to **AC**, but it is an open question to know whether **VB** imply **AC** in **ZFA**. In this paper, we discuss various statements about “finitary matroids” (which can be seen as generalisations of vector spaces, see Section 2.3.3) and their links with **AC**. We show that the statement “*Every finitary matroid has a basis*” is equivalent to **AC** in **ZFA** (see Proposition 5). We then consider the three following consequences of **AC** involving hyperplanes in finitary matroids, possibly satisfying the “binary elimination property” (see Section 3.2):

**sH**: “*Every proper flat in a finitary matroid is the intersection of hyperplanes including it.*”

**sH<sub>bep</sub>**: “*Every proper flat in a finitary matroid with the binary elimination property is the intersection of hyperplanes including it.*”

**H**: “*Every nonempty finitary matroid has an hyperplane.*”

It is known that **AC**  $\Rightarrow$  **sH** and of course **sH**  $\Rightarrow$  **H** and **sH**  $\Rightarrow$  **sH<sub>bep</sub>**. In this paper, we shall prove that **sH<sub>bep</sub>** implies the following axiom of choice for finite sets:

**AC<sup>fin</sup>**: (form 62 of [7]) *Every nonempty family of finite nonempty sets has a choice function.*

It is known (see [7]) that **AC<sup>fin</sup>** does not imply **AC** and that **AC<sup>fin</sup>** is not provable in **ZF**. We do not know whether **H** implies **sH** or **sH<sub>bep</sub>** or **AC<sup>fin</sup>** nor do we know whether **H** or **sH** implies **AC** (see Figure 2 at the end of the paper). For every natural number  $k \geq 2$  we consider the following consequence of **AC<sup>fin</sup>**:

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*Date:* October 22, 2021.

*2000 Mathematics Subject Classification.* Primary 03E25 ; Secondary 05B.

*Key words and phrases.* Axiom of Choice, finitary matroids, circuits, hyperplanes, graphs.

$\mathbf{AC}^k$ : “For every nonempty family  $(A_i)_{i \in I}$  of finite sets with  $k$ -elements,  $\prod_{i \in I} A_i$  is nonempty.”

We also denote by  $\forall k \mathbf{AC}^k$  the following statement, which is form 61 of [7]:

For every natural number  $k \geq 2$ , for every nonempty family  $(A_i)_{i \in I}$  of finite sets with  $k$ -elements,  $\prod_{i \in I} A_i$  is nonempty.

In  $\mathbf{ZF}$ , for every natural number  $n \geq 2$ ,  $\mathbf{AC} \Rightarrow \mathbf{AC}^{\text{fin}} \Rightarrow \forall k \mathbf{AC}^k \Rightarrow \mathbf{AC}^n$ , and it is known (see [7]) that in  $\mathbf{ZF}$ , none of these implications is reversible, and that  $\mathbf{AC}^n$  is not provable.

Using the natural structure of finitary matroid over a vector space (see Example 1),  $\mathbf{H}$  implies the following statement  $\mathbf{D}$ : “Given a commutative field  $\mathbb{K}$  and a non null vector space  $E$  over  $\mathbb{K}$ , there exists a non null linear form  $f : E \rightarrow \mathbb{K}$ ”. For every commutative field  $\mathbb{K}$ , we denote by  $\mathbf{D}_{\mathbb{K}}$  the previous statement restricted to vector spaces over  $\mathbb{K}$ : “For every non null  $\mathbb{K}$ -vector space  $E$ , the algebraic dual of  $E$  is non null.” In [10, Corollary 2], we proved that for every prime number  $p$ , the statement  $\mathbf{D}_{\mathbb{F}_p}$  (where  $\mathbb{F}_p$  is the finite field  $\mathbb{Z}/p\mathbb{Z}$ ) implies the statement  $\mathbf{C}(p)$ : “For every family  $(A_i)_{i \in I}$  of nonempty finite sets, there exists a family  $(B_i)_{i \in I}$  such that for every  $i \in I$ ,  $B_i \subseteq A_i$  and  $p$  does not divide the cardinal of  $B_i$ ”. Denoting by  $\forall p \mathbf{C}(p)$  the statement  $\forall p \in \mathbb{P} \mathbf{C}(p)$  where  $\mathbb{P}$  is the set of prime natural numbers, then  $\forall p \mathbf{C}(p)$  implies (and thus is equivalent to) the statement  $\forall k \mathbf{AC}^k$  (see [10, Remarks 3 and 4]). It follows that  $\mathbf{sH} \Rightarrow \mathbf{H} \Rightarrow \mathbf{D} \Rightarrow \forall k \mathbf{AC}^k$ . However, we do not know whether  $\mathbf{D}$  implies  $\mathbf{H}$ . Notice that in  $\mathbf{ZFA}$ ,  $\mathbf{D}$  does not imply  $\mathbf{AC}^{\text{fin}}$ , since the statement  $\forall p \mathbf{MC}(p)$  (see [7, form 218]) implies the Ingleton statement  $\mathbf{I}$  (the ultrametric counterpart of the Hahn-Banach statement, see [11]) which implies  $\mathbf{D}$ , but  $\forall p \mathbf{MC}(p)$  does not imply  $\mathbf{AC}^{\text{fin}}$  (see Figure 2 at the end of the paper).

The paper is organized as follows. In Section 2 we review in set theory  $\mathbf{ZF}$  some definitions and results about operators on finite or infinite sets in the sense of Higgs ([3]) and Klee ([9]): finitary operators, matroidal operators with particular emphasis on circuits and hyperplanes. We introduce the three notions of “circuit-accessibility”, “hyperplane-accessibility” and “symmetric circuits”. In Section 3, we formulate an equivalent of  $\mathbf{AC}$  in terms of hyperplanes in a certain (non finitary) matroid, and we prove that the statement  $\mathbf{sH}$  restricted to certain binary matroids implies  $\mathbf{AC}^{\text{fin}}$ . Finally, in the last section, we prove that  $\mathbf{AC}^{\text{fin}}$  is equivalent to various statements about “graphic” matroids. We end with several questions about finitary matroids and  $\mathbf{AC}$ .

## 2. OPERATORS AND THE AXIOM OF CHOICE

### 2.1. Operators on a set.

2.1.1. *Operators and their circuits.* An operator on a set  $X$  (see [9, p. 138]) is a mapping  $\phi : \mathcal{P}(X) \rightarrow \mathcal{P}(X)$  which is *isotonic* (for every subsets  $A, B$  of  $X$ ,  $(A \subseteq B \Rightarrow \phi(A) \subseteq \phi(B))$ ) and *enlarging* (for every subset  $A$  of  $X$ ,  $A \subseteq \phi(A)$ ). Given an operator  $\phi$  on a set  $X$ , a subset  $D$  of  $X$  is said to be  $\phi$ -*dependent* if there exists  $x \in D$  such that  $x \in \phi(D \setminus \{x\})$ . A subset  $I$  of  $X$  is said to be  $\phi$ -*independent* if  $I$  is not  $\phi$ -dependent *i.e.* if for every  $x \in I$ ,  $x \notin \phi(I \setminus \{x\})$ . Minimal  $\phi$ -dependent subsets of  $X$  are called  $\phi$ -*circuits*. A *loop* of the operator  $\phi$  on  $X$  is an element  $x$  of  $X$  such that  $\{x\}$  is a  $\phi$ -circuit *i.e.*  $\{x\}$  is  $\phi$ -dependent *i.e.*  $x \in \phi(\emptyset)$ . Two distinct elements  $x, y$  of  $X$  are *parallel* if  $\{x, y\}$  is a  $\phi$ -circuit.

*Remark 1.* Given an operator  $\phi$  on a set  $X$ :

- (1) the collection  $\mathcal{I}_\phi$  of  $\phi$ -independent subsets of  $X$  contains  $\emptyset$  and is *initial*: for all subsets  $A, B$  of  $X$ , if  $A \subseteq B$  and  $B \in \mathcal{I}_\phi$ , then  $A \in \mathcal{I}_\phi$ ;
- (2) the collection  $\mathcal{D}_\phi$  of  $\phi$ -dependent subsets of  $X$  does not contain  $\emptyset$  and is *final*: for every subsets  $A, B$  of  $X$ , if  $A \subseteq B$  and  $A \in \mathcal{D}_\phi$ , then  $B \in \mathcal{D}_\phi$ .
- (3) The collection  $\mathcal{C}_\phi$  of  $\phi$ -circuits is an *antichain* of nonempty sets: no member of  $\mathcal{C}_\phi$  includes another one.

2.1.2. *Finitary operators.* A operator  $\phi$  on  $X$  is said to be *finitary* if for every subset  $Y$  of  $X$  and every  $x \in \phi(Y)$ , there exists a finite subset  $F$  of  $Y$  satisfying  $x \in \phi(F)$ . If the operator  $\phi$  is finitary, then every  $\phi$ -dependent set includes a (finite)  $\phi$ -circuit.

**Definition 1.** Given two *finitary* operators  $\phi_1$  and  $\phi_2$  on sets  $X_1$  and  $X_2$  and given a bijection  $f : X_1 \rightarrow X_2$ , the following statements are equivalent:

- (1) for every subset  $I$  of  $X_1$ ,  $I$  is  $\phi_1$ -independent if and only if  $f[I]$  is  $\phi_2$ -independent
- (2) for every subset  $C$  of  $X_1$ ,  $C$  is a  $\phi_1$ -circuit if and only if  $f[C]$  is a  $\phi_2$ -circuit.

Every bijection  $f : X_1 \rightarrow X_2$  satisfying one of the two previous statements is called an *isomorphism* of finitary operators.

2.1.3. *Hyperplanes of an operator.* A subset  $A$  of  $X$  is said to be  $\phi$ -*spanning* if  $\phi(A) = X$ . Subsets of  $X$  which are both  $\phi$ -independent and  $\phi$ -spanning are called *bases* of the operator  $\phi$  (or  $\phi$ -bases). Maximal non-spanning subsets of  $X$  are called  $\phi$ -*hyperplanes*. Subsets of  $X$  which are fixed points of  $\phi$  are called *flats* or *closed subsets* of the operator  $\phi$ .

*Remark 2.* Given an operator  $\phi$  on a set  $X$ , for every nonempty family  $(F_i)_{i \in I}$  of  $\phi$ -closed subsets of  $X$ ,  $\bigcap_{i \in I} F_i$  is  $\phi$ -closed, and thus, the *poset*  $\mathcal{L}_\phi$  of  $\phi$ -closed subsets of  $X$  endowed with the inclusion relation is a complete lattice (but it is not an induced sub-lattice of the lattice  $(\mathcal{P}(X), \subseteq)$  in general).

## 2.2. Minors of an operator.

2.2.1. *Suboperators.* Given an operator  $\phi$  on a set  $X$ , and a subset  $Y$  of  $X$ , the mapping  $\phi_Y : \mathcal{P}(Y) \rightarrow \mathcal{P}(Y)$  such that for every subset  $Z$  of  $Y$ ,  $\phi_Y(Z) = \phi(Z) \cap Y$  is an operator on  $Y$ , called the *suboperator* induced by  $\phi$  on  $Y$ , or *restriction operator* of  $\phi$  to  $Y$  (see [13, p. 263]). If the operator  $\phi$  on  $X$  is finitary, then the suboperator  $\phi_Y$  is also finitary.

*Remark 3.* Given an operator  $\phi$  on a set  $X$ , and a subset  $Y$  of  $X$ , then:

- (1) The  $\phi_Y$ -dependent subsets of  $Y$  are the  $\phi$ -dependent sets that are included in  $Y$ ;
- (2) The  $\phi_Y$ -independent subsets of  $Y$  are the  $\phi$ -independent sets that are included in  $Y$ .
- (3) The  $\phi_Y$ -circuits are the  $\phi$ -circuits that are included in  $Y$ .

2.2.2. *Quotient operators.* Given an operator  $\phi$  on a set  $X$ , and a subset  $Y$  of  $X$ , the mapping  $\phi^Y : \mathcal{P}(Y) \rightarrow \mathcal{P}(Y)$  associating to every subset  $A$  of  $Y$  the set  $Y \cap \phi(A \cup (X \setminus Y))$  is an operator on  $Y$ . The operator  $\phi^Y$  on  $Y$  is called the *quotient operator*  $\phi^Y$ , or the *contraction operator*  $\phi^Y$  (see [13, p. 263]). If the operator  $\phi$  on  $X$  is finitary, then the operator  $\phi^Y$  is also finitary.

**Proposition 1.** *Given an operator  $\phi$  on a set  $X$  and a proper flat  $F$  of  $\phi$ , then:*

- (1)  $\phi$ -flats including  $F$  are subsets  $F \cup Z$  where  $Z$  is a flat of the quotient operator  $\phi^{X \setminus F}$  on  $X \setminus F$ .
- (2)  $\phi$ -hyperplanes including  $F$  are subsets  $F \cup Z$  where  $Z$  is a hyperplane of the operator  $\phi^{X \setminus F}$ .

*Proof.* (1) Given a subset  $Z$  of  $X \setminus F$ , the following sentences are equivalent:  $F \cup Z$  is a  $\phi$ -flat;  $\phi(F \cup Z) \subseteq F \cup Z$ ;  $\phi(F \cup Z) \setminus F \subseteq Z$ ;  $\phi^{X \setminus F}(Z) \subseteq Z$ ;  $Z$  is a  $\phi^{X \setminus F}$ -flat subset of  $X \setminus F$ .

(2) Given a subset  $Z$  of  $X \setminus F$ , the following sentences are equivalent:  $F \cup Z$  is a  $\phi$ -hyperplane;  $(F \cup Z)$  is a proper  $\phi$ -flat but for every  $x \in X \setminus (F \cup Z)$ ,  $\phi((F \cup Z) \cup \{x\}) = X$ ;  $Z$  is a proper  $\phi^{X \setminus F}$ -flat but for every  $x \in X \setminus (F \cup Z)$ ,  $\phi^{X \setminus F}(Z \cup \{x\}) = X \setminus F$ ; the subset  $Z$  of  $X \setminus F$  is a  $\phi^{X \setminus F}$ -hyperplane.  $\square$

*Remark 4.* Proposition 1 implies that given a class  $\mathcal{O}$  of operators which is closed by quotient operators, if every  $\phi \in \mathcal{O}$  has an hyperplane, then for every  $\phi \in \mathcal{O}$ , every proper flat of  $\phi$  is included in a  $\phi$ -hyperplane.

**Definition 2.** Given an operator  $\phi$  on a set  $X$ , a *minor* of an operator  $\phi$  on a set  $X$  is an operator  $\psi$  on a subset  $Y$  of  $X$  such that there exists a sequence of operators  $(\phi_i)_{0 \leq i \leq n}$  such that  $\phi_0 = \phi$ ,  $\phi_n = \psi$  and for each  $i \in \{1, \dots, n\}$ ,  $\phi_i$  is a suboperator or a quotient operator of  $\phi_{i-1}$ .

### 2.3. Finitary matroidal operators.

2.3.1. *Idempotency properties.* A *closure operator* on  $X$  is an operator  $\phi$  on  $X$  which is *idempotent* (see [9, p. 140]): for every subset  $A$  of  $X$ ,  $\phi(\phi(A)) = \phi(A)$ .

If the operator  $\phi$  on  $X$  is idempotent, then for every subset  $Y$  of  $X$ , the operators  $\phi_Y$  and  $\phi^Y$  are also idempotent.

**Proposition 2.** *Given an idempotent operator  $\phi$  on a set  $X$ , a subset  $H$  of  $X$  is a  $\phi$ -hyperplane iff  $H$  is a maximal proper  $\phi$ -closed subset of  $X$ .*

*Proof.* Given an operator  $\phi$  on a set  $X$ , for every  $\phi$ -hyperplane  $H$ , then either  $\phi(H) = H$ , and thus  $H$  is a maximal proper  $\phi$ -closed subset of  $X$ , or  $\phi(H)$  is spanning (else  $H \subsetneq \phi(H) \subseteq \phi(\phi(H)) \subsetneq X$  and  $H$  would not be a  $\phi$ -hyperplane since  $\phi(H)$  would be a non spanning subset of  $X$  strictly including  $H$ ). It follows that if  $\phi$  is idempotent, then every  $\phi$ -hyperplane is a maximal proper  $\phi$ -closed subset of  $X$  (else,  $\phi(H)$  would be spanning *i.e.*  $X = \phi(\phi(H)) = \phi(H)$  by idempotency, and thus  $H$  would be spanning). Reciprocally, if  $H$  is a maximal proper  $\phi$ -closed subset of  $X$ , then for every  $x \in X \setminus H$ ,  $\phi(H \cup \{x\})$  is closed and thus  $\phi(H \cup \{x\}) = X$  whence  $H$  is a  $\phi$ -hyperplane.  $\square$

**Definition 3.** An operator  $\phi$  on  $X$  is *circuit-accessible* if for every subset  $Y$  of  $X$  and every  $x \in \phi(Y) \setminus Y$ , there exists a  $\phi$ -circuit  $C$  such that  $x \in C \subseteq Y \cup \{x\}$ .

*Remark 5.* Every finitary idempotent operator is circuit-accessible.

*Proof.* Let  $\phi$  be a finitary idempotent operator on a set  $X$ . Given some subset  $A$  of  $X$ , and some  $x \in \phi(A) \setminus A$ , let  $I$  be a minimal finite subset of  $A$  such that  $x \in \phi(I)$ . Then  $I$  is independent, else there exists  $y \in I$  such that  $y \in \phi(I \setminus \{y\})$ , whence, denoting by  $G$  the set  $I \setminus \{y\}$ ,  $x \in \phi(G \cup \{y\})$  and thus, by idempotency of  $\phi$  and since  $y \in \phi(G)$ ,  $x \in \phi(G)$  which contradicts the minimality of  $I$ . Since  $I \cup \{x\}$  is finite and dependent, there exists a  $\phi$ -circuit  $C$  such that  $C \subseteq I \cup \{x\}$ . Since  $I$  is independent,  $x \in C$  and finally,  $x \in C \subseteq A \cup \{x\}$ . It follows that  $\phi$  is circuit-accessible.  $\square$

2.3.2. *Exchange properties.* An operator  $\phi$  on a set  $X$  is said to satisfy the *exchange property* (see property (E) in [9, p. 140]) if for every subsets  $Y, Z$  of  $X$  and every  $x \in X$ , if  $x \in \phi(Y \cup Z)$  and  $x \notin \phi(Y)$ , then there exists  $y \in Z$  such that  $y \in \phi((Y \cup Z) \setminus \{y\}) \cup \{x\}$ .

**Definition 4.** Given an operator  $\phi$  on a set  $X$ , a  $\phi$ -circuit  $C$  is *symmetric* if for every  $x \in C$ ,  $x \in \phi(C \setminus \{x\})$ .

*Remark 6.* If an operator  $\phi$  on a set  $X$  satisfies the exchange property, then every  $\phi$ -circuit is symmetric.

2.3.3. *Matroidal operators.* We say that an operator  $\phi$  on a set  $X$  is *matroidal* if  $\phi$  is idempotent and satisfies the exchange property.

*Example 1* (The operator  $\text{span}_X$  associated to a vector space  $X$ ). Given a vector space  $X$  over a commutative field  $\mathbb{K}$ , the operator  $\text{span}$  on  $X$ , associating to every subset  $Y$  of  $X$  the vector subspace generated by  $Y$  in  $X$  is a finitary matroidal operator on  $X$ . The span-independent subsets of  $X$  are the  $\mathbb{K}$ -linearly independent subsets of  $X$ ; the span-bases of  $X$  are the bases of the  $\mathbb{K}$ -vector space  $X$ ; the span-flats are the vector subspaces of  $X$ , and the span-hyperplanes of  $X$  are the kernels of non null linear forms  $f : X \rightarrow \mathbb{K}$ . The only loop of this operator is  $\{0_X\}$ .

*Example 2* (The matroidal operator associated to a family of vectors). Given a  $\mathbb{K}$ -vector space  $X$  and a mapping  $f : I \rightarrow X$ , the mapping  $\phi : \mathcal{P}(I) \rightarrow \mathcal{P}(I)$  associating to every subset  $J$  of  $I$  the set  $\{i \in I : f(i) \in \text{span}(f[J])\}$  is a finitary matroidal operator. Loops of this operator are elements  $i \in I$  such that  $f(i) = 0_X$ . Two elements  $i, j$  of  $I$  are parallel iff  $i, j$  are not loops and if  $f(i)$  and  $f(j)$  are colinear.

Given a (commutative) field  $\mathbb{F}$ , a finitary matroidal operator  $\phi$  on a set  $X$  is said to be  $\mathbb{F}$ -*representable* if there exist a  $\mathbb{K}$ -vector space  $E$  and a mapping  $f : I \rightarrow E$  such that the matroidal operator  $\phi$  is isomorphic with the finitary matroidal operator associated to  $f$ .

*Remark 7.* There are many equivalent definitions for the notion of *matroid* on a finite set (see [15, Chapter 1] or [16, Chapter 2]). Given an infinite set  $X$ , the notion of finitary matroidal operator on  $X$  is equivalent to the notion of “transitive dependence relation” on  $X$  (see for example [17, p. 97], [1, Prop. 2.1 p. 253], [15, Chapter 20.5], [2, p. 2]). In **ZFC**, finitary matroids have bases, but infinite matroids do not have bases in general.

2.3.4. *Hyperplane-accessibility.*

**Definition 5.** An operator  $\phi$  on a set  $X$  is *hyperplane-accessible* if every proper flat of  $\phi$  is the intersection of the set of the  $\phi$ -hyperplanes including it.

Given a commutative field  $\mathbb{K}$ , the statement  $\mathbf{D}_{\mathbb{K}}$ : “Every non null vector space has a non null linear form.” is equivalent to the statement “For every  $\mathbb{K}$ -vector space  $E$ , the finitary matroidal operator is hyperplane-accessible.”

## 2.4. Finitary operators and the Axiom of choice.

2.4.1. *Axiom of Choice and finitary operators.*

**Proposition 3** ([14, p. 95] and [4]). **AC** is equivalent to each of the following statements:

- (1)  $AL'_3$ : [14, p. 95] “For every finitary closure operator  $\phi$  on a set  $X$ , for every collection  $\mathcal{F}$  of subsets of  $X$  which has finite character (i.e. for every subset  $Z$  of  $X$ ,  $Z \in \mathcal{F}$  iff for every finite subset  $Y$  of  $Z$ ,  $Y \in \mathcal{F}$ ), for every proper  $\phi$ -flat  $F$  of  $X$  such that  $F \in \mathcal{F}$ , then there exists a maximal  $\phi$ -flat  $G$  such that  $F \subseteq G$  and  $G \in \mathcal{F}$ .”

- (2)  $AL_3''$ : “For every finitary closure operator  $\phi$  on a set  $X$ , for every proper  $\phi$ -flat  $F$  of  $X$  and every  $x \in X \setminus F$ , then there exists a maximal  $\phi$ -flat  $G$  such that  $F \subseteq G$  and  $x \notin G$ .”
- (3)  $K$  (Krull): “Every proper ideal of commutative unitary ring has a maximal proper ideal.”

It follows that **AC** implies the statement **sH**: “Every finitary matroid is hyperplane-accessible.”

*Proof.* **AC**  $\Rightarrow$   $AL_3'$ : The set  $P := \{Z \in \mathcal{F} : F \subseteq Z \text{ and } \phi(Z) = Z\}$  endowed with the order induced by  $\subseteq$  is inductive (for every chain  $C$  of  $P$ ,  $\cup C \in P$ ) and thus, Zorn’s lemma implies a maximal element  $G$  of  $P$ .  $AL_3' \Rightarrow AL_3''$ : given a proper  $\phi$ -flat  $F$  and  $x \in X \setminus F$ , the collection  $\mathcal{F}$  of subsets of  $X$  which do not contain  $x$  has the finite character, and thus  $AL_3'$  implies a maximal  $\phi$ -flat including  $F$  and not containing  $x$ .  $AL_3'' \Rightarrow K$ : Given a proper ideal  $I$  of a commutative unitary ring  $A$ , consider the closure operator  $\phi$  on  $A$  associating to each subset  $Z$  of  $A$  the ideal of  $A$  generated by  $Z$ . Then  $\phi$  is finitary, and thus  $AL_3''$  implies a maximal  $\phi$ -closed subset  $M$  of  $A$  including  $I$  such that  $1 \notin M$ .  $K \Rightarrow$  **AC**: this implication is due to Hodges (see [4]).

In the conditions of statement  $AL_3''$ , if moreover  $\phi$  satisfies the exchange property, then  $G$  is a  $\phi$ -hyperplane, so the statement **sH** is the restriction of statement  $AL_3''$  to finitary matroids. It follows that **AC**  $\Rightarrow$   $AL_3'' \Rightarrow$  **sH**.  $\square$

#### 2.4.2. Axiom of choice and finitary matroids.

**Definition 6.** An operator  $\phi$  on a set  $X$  is said to satisfy the *interpolation property* (for bases) if for every  $\phi$ -independent subset  $I$  of  $X$  and every  $\phi$ -generating subset  $G$  of  $X$  such that  $I \subseteq G$ , there exists a  $\phi$ -basis  $B$  such that  $I \subseteq B \subseteq G$ .

A *B-matroidal* operator on a set  $X$  (see [3, p. 217], [13, p. 264]) is a matroidal operator  $\phi$  on  $X$  such that for every subset  $Y$  of  $X$ , the suboperator  $\phi_Y$  satisfies the interpolation property. Of course, every suboperator of a B-matroidal operator is B-matroidal.

**Proposition 4** ([3, p. 219]). *Every B-matroidal operator is hyperplane-accessible and circuit-accessible.*

*Proof.* Higgs defines a “C-matroid” as a matroidal operator which is both hyperplane-accessible and circuit-accessible. He proves that every B-matroid is a “C-matroid”.  $\square$

**Proposition 5.** (1) **AC** is equivalent to each of the following statements:

$FB_0$ : “Every finitary matroid satisfies the interpolation property”

$FB_1$ : “Every finitary matroid is a B-matroid”

$FB_2$ : “Every finitary matroid has a basis”

$FB_3$  (form [1A] of [7]): “Given a vector space  $E$ , every generating subset of  $E$  includes a basis of  $E$ .”

$FB_4$ : “Every connected graph has a spanning tree.”

- (2) The statement **H**: “Every nonempty finitary matroid has an hyperplane.” is equivalent to the statement “Every proper flat of a finitary matroid is included in a hyperplane.”

*Proof.* (1) **AC**  $\Rightarrow$   $FB_0$ . Given a finitary matroidal operator  $\phi$  on a set  $X$ , a  $\phi$ -independent subset  $I$  of  $X$  and a  $\phi$ -generating subset  $G$  of  $X$  such that  $I \subseteq G$ , consider the set  $\mathcal{J}$  of  $\phi$ -independent subsets  $J$  such that  $I \subseteq J \subseteq G$ . Then the poset  $(\mathcal{J}, \subseteq)$  is inductive (every chain

$(J_t)_{t \in T}$  of this poset is dominated by  $\bigcup_{t \in T} J_t$ , so with Zorn's lemma, one gets a maximal element  $B$  of the poset  $(\mathcal{J}, \subseteq)$ , and  $B$  is a  $\phi$ -basis such that  $I \subseteq B \subseteq G$ .  $FB_0 \Rightarrow FB_1$  follows from the previous point and the fact that every submatroid of a finitary matroid is finitary.  $FB_1 \Rightarrow FB_2$  is trivial.  $FB_2 \Rightarrow FB_3$ : Consider a vector space  $E$  and a generating subset  $G$  of  $E$ . The operator  $\phi$  induced by span on  $G$  is finitary and matroidal, and thus  $FB_2$  implies a  $\phi$ -basis, which is a basis of the vector space  $E$  included in  $G$ .  $FB_3 \Rightarrow FB_4$ : See [6].  $FB_4 \Rightarrow \mathbf{AC}$ : See [5].

(2) Given a finitary matroidal operator  $\phi$  on a set  $X$ , and a proper flat  $F$  of  $\phi$ , the statement **sH** applied to the finitary operator  $\phi^F$  provides a hyperplane  $Z$  of  $\phi^F$ , and then  $F \cup Z$  is a  $\phi$ -hyperplane using Proposition 1.  $\square$

### 3. HYPERPLANES IN MATROIDS AND THE AXIOM OF CHOICE

#### 3.1. The operator associated to an antichain of nonempty sets.

**Proposition 6.** *Every circuit-accessible operator  $\phi$  on a set  $X$  such that  $\phi$ -circuits are symmetric satisfies the exchange property.*

*Proof.* Assume that  $Y, Z$  are two subsets of  $X$  and that for some  $x \in X$ ,  $x \in \phi(Y \cup Z)$  but  $x \notin \phi(Y)$ . Since  $\phi$  is circuit-accessible, let  $C$  be a  $\phi$ -circuit such that  $x \in C \subseteq (Y \cup Z) \cup \{x\}$ . Since the circuit  $C$  is symmetric,  $x \in \phi(C \setminus \{x\})$ , and thus  $C \setminus \{x\}$  meets  $Z$  (else  $C \setminus \{x\} \subseteq Y$  so  $\phi(C \setminus \{x\}) \subseteq \phi(Y)$  whence  $x \in \phi(Y)$ , which is contradictory!). Let  $z \in (C \setminus \{x\}) \cap Z$ ; then, since the circuit  $C$  is symmetric,  $z \in \phi(C \setminus \{z\}) \subseteq \phi(((Y \cup Z) \cup \{x\}) \setminus \{z\})$ .  $\square$

**Lemma 1.** *Given an antichain  $\mathcal{C}$  of nonempty subsets of a set  $X$ , denote by  $\phi$  the operator on  $X$  associating to each subset  $Y$  of  $X$  the set  $Y \cup B$  where  $B$  is the set of elements  $x \in X$  such that there exists  $C \in \mathcal{C}$  satisfying  $x \in C \subseteq Y \cup \{x\}$ .*

- (1)  $\phi$  is an operator on  $X$ .
- (2) Each element of  $\mathcal{C}$  is a symmetric  $\phi$ -circuit.
- (3)  $\mathcal{C}$  is the set of  $\phi$ -circuits, and the operator  $\phi$  on  $X$  is circuit-accessible.
- (4) The operator  $\phi$  satisfies the exchange property.
- (5) If elements of  $\mathcal{C}$  are finite sets, then the operator  $\phi$  is finitary.

*Proof.* (1) By definition of  $\phi$ , the mapping  $\phi$  is expansive; moreover  $\phi$  is isotonic since if  $Y_1 \subseteq Y_2 \subseteq X$ , for every  $x \in X$  and every  $C \in \mathcal{C}$  such that  $x \in C \subseteq Y_1 \cup \{x\}$ , then  $x \in C \subseteq Y_2 \cup \{x\}$ , thus  $\phi(Y_1) \subseteq \phi(Y_2)$ .

(2) If  $C \in \mathcal{C}$ , then, by definition of  $\phi$ , for every  $x \in C$ ,  $x \in \phi(C \setminus \{x\})$ , thus  $C$  is  $\phi$ -dependent; moreover, the set  $I := C \setminus \{x\}$  is  $\phi$ -independent, else let  $y \in I$  such that  $y \in \phi(I \setminus \{y\})$ ; then there would exist  $C' \in \mathcal{C}$  such that  $y \in C' \subseteq I \subsetneq C$  which is contradictory since  $\mathcal{C}$  is an antichain.

(3) Let  $C$  be a  $\phi$ -circuit. Then there exists  $x \in C$  such that  $x \in \phi(C \setminus \{x\})$ . By definition of  $\phi$ , let  $C' \in \mathcal{C}$  such that  $x \in C' \subseteq (C \setminus \{x\}) \cup \{x\} = C$ ; using Point (2),  $C'$  is a  $\phi$ -circuit, and since the set of  $\phi$ -circuits is an antichain,  $C' = C$ , and thus  $C \in \mathcal{C}$ . Since  $\mathcal{C}$  is the set of  $\phi$ -circuits, it follows by definition of  $\phi$  that the operator  $\phi$  is circuit-accessible.

(4) This follows from Proposition 6 using the fact that  $\phi$  is circuit-accessible and has symmetric circuits.

(5) Trivial since  $\phi$  is circuit-accessible.  $\square$



**3.2. Binary matroids.** A family  $\mathcal{C}$  of subsets of a set  $X$  is said to satisfy the *binary elimination property* if for all distinct elements  $C_1, C_2$  of  $\mathcal{C}$ , the symmetric difference  $C_1\Delta C_2$  is a union of pairwise disjoint elements of  $\mathcal{C}$ .

**Theorem 1** ([12, Th 9.1.2 p . 344]). *Given a matroidal operator  $\phi$  on a finite set  $X$  and denoting by  $\mathcal{C}$  the set of  $\phi$ -circuits, the following statements are equivalent:*

- (1) *The operator  $\phi$  is representable over the two-element field  $\mathbb{F}_2$*
- (2) *The symmetric difference of any set of circuits is either empty or contains a circuit*
- (3)  *$\mathcal{C}$  satisfies the binary elimination property*
- (4) *For all distinct circuits  $C_1, C_2 \in \mathcal{C}$ ,  $C_1\Delta C_2$  is a (finite) union of circuits*
- (5) *For all distinct circuits  $C_1, C_2 \in \mathcal{C}$ ,  $C_1\Delta C_2$  includes a circuit.*

The following corollary holds in **ZF** for *infinite* finitary matroids.

**Corollary 1.** *Given a finitary matroidal operator  $\phi$  on a (non necessarily finite) set  $X$  and denoting by  $\mathcal{C}$  the set of  $\phi$ -circuits, the following statements are equivalent:*

- (1)  *$\phi$  is  $\mathbb{F}_2$ -representable*
- (2) *Every finite submatroid of  $\phi$  is  $\mathbb{F}_2$ -representable*
- (3)  *$\mathcal{C}$  satisfies the binary elimination property*
- (4) *For all distinct  $\phi$ -circuits  $C_1, C_2 \in \mathcal{C}$ ,  $C_1\Delta C_2$  is a (finite) union of circuits*
- (5) *For all distinct  $\phi$ -circuits  $C_1, C_2 \in \mathcal{C}$ ,  $C_1\Delta C_2$  includes a circuit.*
- (6) *The symmetric difference of any set of  $\phi$ -circuits is either empty or contains a circuit.*

*Proof.* (1)  $\Rightarrow$  (2) is easy and (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4)  $\Rightarrow$  (5)  $\Rightarrow$  (6) are consequences of Theorem 1. We prove (6)  $\Rightarrow$  (1). We consider the vector space  $\mathbb{F}_2^{(X)}$  and its canonical basis  $(e_x)_{x \in X}$  where for every  $x \in X$ ,  $e_x : X \rightarrow \mathbb{F}_2$  is the indicator function of the singleton  $\{x\}$ . Let  $V$  be the vector subspace of  $\mathbb{F}_2^{(X)}$  generated by the set  $\{v_C := \sum_{x \in C} x : C \text{ } \phi\text{-circuit}\}$ . Let  $Q$  be the quotient vector space  $\mathbb{F}_2^{(X)}/V$  and let  $f : X \rightarrow Q$  be the quotient mapping  $x \mapsto e_x + V$ . The (finitary) matroidal operator  $\psi$  associated to  $f$  is isomorphic with  $\phi$  since  $\phi$  and  $\psi$  have the same circuits: given a subset  $C$  of  $X$ ,  $C$  is a  $\psi$ -circuit iff  $\sum_{x \in C} (e_x + V) = 0_Q$  and for every proper subset  $I$  of  $C$ ,  $\sum_{x \in I} (e_x + V) \neq 0_Q$ ; equivalently,  $\sum_{x \in C} e_x \in V$  and for every proper subset  $I$  of  $C$ ,  $\sum_{x \in I} e_x \notin V$ ; this means that there exist  $\phi$ -circuits  $C_1, \dots, C_m$  such that  $C = C_1\Delta \dots \Delta C_m$  and that no proper subset  $I$  of  $C$  is the symmetric difference of a nonempty sequence of  $\phi$ -circuits; using (2) it means that  $C$  is a  $\phi$ -circuit.  $\square$

**Definition 7.** A finitary matroid is said to be *binary* if it satisfies one of the previous equivalent statements.

**3.3. The matroidal operator associated to a family of pairwise disjoint nonempty sets.**

**Definition 8.** Given an integer  $n \geq 2$ , a family  $\mathcal{C}$  of subsets of a set  $X$  is said to satisfy the  *$n$ -binary elimination property* if for all distinct elements  $C_1, C_2$  of  $\mathcal{C}$ , the symmetric difference  $C_1\Delta C_2$  is a union of at most  $n$  elements of  $\mathcal{C}$ .

**Theorem 2.** *Given a nonempty family  $(A_i)_{i \in I}$  of pairwise disjoint nonempty sets, consider the set  $X = \bigcup_{i \in I} A_i \cup \{O\}$  where  $O$  is some set such that  $O \notin \bigcup_{i \in I} A_i$ . For every  $i \in I$ , let  $C_i^1 := A_i \cup \{O\}$ , and for all distinct elements  $i, j \in I$ , let  $C_{i,j}^2 = A_i \cup A_j$ . Let  $\mathcal{C} := \{C_i^1 : i \in I\} \cup \{C_{i,j}^2 : i, j \in I; i \neq j\}$ .*

- (1)  $\mathcal{C}$  is an antichain of nonempty subsets of  $X$
- (2)  $\mathcal{C}$  satisfies the 2-binary elimination property.
- (3) Let  $\phi$  be the operator associated to the antichain  $\mathcal{C}$ . Then  $\phi$  is finitary iff for every  $i \in I$ , the set  $A_i$  is finite.
- (4) The operator  $\phi$  is idempotent (and thus matroidal).

*Proof.* Points (1), (2) and (3) are easy to check.

(4) Let  $Z$  be a subset of  $X$ . Let  $I_1$  be the set of elements  $i \in I$  such that  $A_i \setminus Z$  has at least two elements. Let  $I_2 = I \setminus I_1$ . If  $O \in Z$  then  $\phi(Z) = Z \cup \bigcup_{i \in I_2} A_i$  and thus,  $\phi(\phi(Z)) = \phi(Z)$ . If  $O \notin Z$  and if there exists  $i_0 \in I_2$  such that  $A_{i_0} \subseteq Z$ , then  $\phi(Z) = Z \cup \{O\} \cup \bigcup_{i \in I_2} A_i$  and thus,  $\phi(\phi(Z)) = \phi(Z)$ ; if  $O \notin Z$  and if for every  $i \in I_2$ ,  $A_i \setminus Z$  has exactly one element, then  $\phi(Z) = Z$  and thus  $\phi(\phi(Z)) = \phi(Z)$ .  $\square$

**Definition 9.** In the conditions of the previous theorem, we call  $\phi$  the *matroidal operator associated to  $O$  and the family  $(A_i)_{i \in I}$* .

**Definition 10.** Given a nonempty family  $(A_i)_{i \in I}$  of pairwise disjoint nonempty sets, a *selector* for this family is a subset  $S$  of  $\bigcup_{i \in I} A_i$  such that for every  $i \in I$ ,  $S \cap A_i$  has at most one element; the selector  $S$  is said to be *total* if for every  $i \in I$ ,  $S \cap A_i$  has exactly one element.

**Theorem 3.** *Given a nonempty family  $(A_i)_{i \in I}$  of pairwise disjoint nonempty sets, consider the set  $X = \bigcup_{i \in I} A_i \cup \{O\}$  where  $O$  is some set such that  $O \notin \bigcup_{i \in I} A_i$ . Let  $\phi$  be the matroidal operator associated to  $O$  and the family  $(A_i)_{i \in I}$ .*

- (1) A subset  $L$  of  $X$  is  $\phi$ -independent iff either ( $O \in L$  and  $\forall i \in I A_i \not\subseteq L$ ), or ( $O \notin L$  and there exists at most one element  $i_0 \in I$  such that  $A_{i_0} \subseteq L$ ).
- (2) A subset  $G$  of  $X$  is  $\phi$ -generating iff  $S := (\bigcup_{i \in I} A_i) \setminus G$  is a selector for the family  $(A_i)_{i \in I}$ , which is not total if  $O \notin G$ .
- (3) A subset  $B$  of  $X$  is a  $\phi$ -basis iff there exists a total selector  $S$  for the family  $(A_i)_{i \in I}$  such that  $B = ((\bigcup_{i \in I} A_i) \setminus S) \cup \{a\}$  where  $a$  is some element of  $\{O\} \cup S$ .
- (4) A proper subset  $F$  of  $X$  is a  $\phi$ -flat iff ( $O \in F$  or  $\exists i_0 \in I A_{i_0} \subseteq F$ )  $\Rightarrow \forall i \in I A_i \setminus F$  is not a singleton
- (5) A subset  $H$  of  $X$  is a  $\phi$ -hyperplane iff  $H = (\bigcup_{i \in I} A_i) \setminus S$  where  $S$  is a total selector for the family  $(A_i)_{i \in I}$ , or  $H = X \setminus \{x, y\}$  where  $i_0 \in I$  and  $x, y \in A_{i_0}$  with  $x \neq y$ .
- (6) The following statements are equivalent:
  - (a) The operator  $\phi$  is hyperplane-accessible.
  - (b) Every family  $(B_i)_{i \in I}$  such that for every  $i \in I$ ,  $\emptyset \subsetneq B_i \subseteq A_i$  has a total selector.
  - (c) The operator  $\phi$  is  $B$ -matroidal.
  - (d) The operator  $\phi$  satisfies the interpolation property for bases

*Proof.* Points (1), (2), (3), (4) and (5) are consequences of the definitions. We prove Point (6).

(a)  $\Rightarrow$  (b): Given a family  $(B_i)_{i \in I}$  such that for every  $i \in I$ ,  $\emptyset \subsetneq B_i \subseteq A_i$ , consider the proper  $\phi$ -flat subset  $F := \bigcup_{i \in I} (A_i \setminus B_i)$  of  $X$ ; since  $\phi$  is hyperplane-accessible, let  $H$  be a  $\phi$ -hyperplane such that  $F \subseteq H$  and  $O \notin H$ ; then  $\bigcup_{i \in I} (A_i \setminus H)$  is a total selector for the family  $(B_i)_{i \in I}$ .

(b)  $\Rightarrow$  (c): Let  $Y$  be a subset of  $X$ . Let  $L$  be a  $\phi$ -independent subset of  $Y$  and let  $G$  be a  $\phi_Y$ -generating subset of  $Y$  such that  $L \subseteq G$ . Let  $J := \{i \in I : Y \cap A_i \neq \emptyset\}$ . Let  $J_1 := \{i \in J : A_i \not\subseteq G\}$ . Let  $J_2 = \{i \in J : A_i \subseteq G \text{ and } A_i \not\subseteq L\}$ . Let  $J_3 = \{i \in J : A_i \subseteq L\}$ : notice that  $J = J_1 \cup J_2 \cup J_3$  and that  $J_1$ ,  $J_2$  and  $J_3$  are pairwise disjoint. For each  $i \in J_1$ ,

let  $x_i$  be the element of  $A_i \setminus G$ . Using (b), consider a choice function  $(x_i)_{i \in J_2}$  for the family  $(A_i \setminus L)_{i \in J_2}$ . If  $J_3$  is nonempty, then  $J_3$  has a unique element  $i_0$  and let  $x_{i_0} = O$  if  $O \in Y$ . If  $O \in Y$ , let  $B := Y \setminus \{x_i : i \in J\}$ , and if  $O \notin Y$ , let  $B := Y \setminus \{x_i : i \in J_1 \cup J_2\}$ . Then  $B$  is a  $\phi_Y$ -basis such that  $L \subseteq B \subseteq G$ .

(c)  $\Rightarrow$  (d) follows from the definitions.

(d)  $\Rightarrow$  (a): Let  $F$  be a proper subset of  $X$  which is a  $\phi$ -flat and let  $x \in X \setminus F$ . If  $x = O$ , then for every  $i \in I$ ,  $A_i \setminus F$  has at least one element (else  $O$  would belong to  $F$ ), and thus  $F$  is  $\phi$ -independent; then  $G = \bigcup_{i \in I} A_i$  is  $\phi$ -spanning and  $F \subseteq G$ : using the interpolation property, there exists a  $\phi$ -basis  $B$  such that  $F \subseteq B \subseteq G$ ; it follows that there exists a total selector  $S$  for  $(A_i)_i$  and an element  $i_0 \in I$  such that  $B = A_{i_0} \cup (\bigcup_{i \neq i_0} A_i) \setminus S$ ; let  $x_{i_0} \in A_{i_0} \setminus F$ ; then  $H = B \setminus \{x_{i_0}\}$  is a  $\phi$ -hyperplane including  $F$  such that  $O \notin H$ . If  $x \neq O$ , then let  $i_0$  be the element of  $I$  such that  $x \in A_{i_0}$ . If  $A_{i_0} \setminus F$  contains an element  $y$  distinct from  $x$ , then  $H := X \setminus \{x, y\}$  is a  $\phi$ -hyperplane including  $F$  and not containing  $x$ . If  $A_{i_0} \setminus F = \{x\}$ , then for every  $i \in I \setminus \{i_0\}$ ,  $A_i \setminus F \neq \emptyset$  and  $O \notin F$  (else  $x$  would belong to  $F$ ); using the independent set  $L = F \setminus \{O\}$  and the generating set  $G = \bigcup_i A_i$ , consider a  $\phi$ -basis  $B$  such that  $L \subseteq B \subseteq G$ ; then  $B$  yields a selector  $S$  for the family  $(A_i \setminus F)_{i \in I}$  (and thus  $x \in S$ ). It follows that  $H := (\bigcup_{i \in I} A_i) \setminus S$  is a  $\phi$ -hyperplane including  $F$ .  $\square$

**Corollary 2.** *AC is equivalent to the following statement: “For every nonempty family  $(A_i)_{i \in I}$  of pairwise disjoint nonempty sets, and for every set  $O$  such that  $O \notin \bigcup_{i \in I} A_i$ , the matroidal operator associated to  $O$  and the family  $(A_i)_{i \in I}$  has an hyperplane not containing  $O$ .”*

**3.4. The axiom sH implies AC<sup>fin</sup>.** We denote by  $\mathbf{sH}_{bep}$  the axiom **sH** restricted to finitary matroids with the binary elimination property. For every natural number  $n \geq 2$ , we denote by  $\mathbf{sH}_{bep_n}$  the axiom **sH** restricted to finitary matroids with the  $n$ -binary elimination property. We denote by  $\mathbf{H}_{bep}$  (resp.  $\mathbf{H}_{bep_n}$ ) the axiom **H** restricted to finitary matroids with the binary elimination property (resp.  $n$ -binary elimination property).

*Remark 8.* The matroidal operator associated to a family  $(A_i)_{i \in I}$  of pairwise finite disjoint nonempty sets satisfies the 2-binary elimination property (and hence is binary).

**Theorem 4.** *In ZF,  $\mathbf{sH} \Rightarrow \mathbf{sH}_{bep} \Rightarrow \mathbf{sH}_{bep_2} \Rightarrow \mathbf{AC}^{\text{fin}}$ .*

*Proof.* Notice that  $\mathbf{AC}^{\text{fin}}$  is equivalent to the statement “For every nonempty family  $(A_i)_{i \in I}$  of pairwise disjoint finite nonempty sets,  $\prod_{i \in I} A_i$  is nonempty.”: given a family  $(A_i)_{i \in I}$  of nonempty sets, consider the family  $(A_i \times \{i\})_{i \in I}$ . Given a nonempty family  $(A_i)_{i \in I}$  of pairwise disjoint finite nonempty sets, consider the set  $X = \bigcup_{i \in I} A_i \cup \{O\}$  where  $O \notin \bigcup_{i \in I} A_i$ , and consider the finitary matroidal operator  $\phi$  on  $X$  associated to the family  $(A_i)_{i \in I}$  (see Theorem 2). Since  $\phi$  has no loops,  $\phi(\emptyset) = \emptyset$ , so  $\emptyset$  is a proper flat of  $\phi$  and thus,  $\mathbf{sH}_{bep_2}$  implies a  $\phi$ -hyperplane  $H$  not containing  $O$ . It follows from Theorem 3 that for each  $i \in I$ ,  $A_i \setminus H$  is a singleton  $\{x_i\}$  where  $(x_i)_{i \in I}$  is a choice function for the family  $(A_i)_{i \in I}$ .  $\square$

**Question 1.** We have shown that  $\mathbf{AC} \Rightarrow \mathbf{sH}_1 \Rightarrow \mathbf{sH} \Rightarrow \mathbf{sH}_{bep} \Rightarrow \mathbf{sH}_{bep_2} \Rightarrow \mathbf{AC}^{\text{fin}}$  and of course  $\mathbf{sH} \Rightarrow \mathbf{H} \Rightarrow \mathbf{H}_{bep} \Rightarrow \mathbf{H}_{bep_2}$ . Does  $\mathbf{sH}_{bep}$  imply **sH**? Does **H** imply  $\mathbf{AC}^{\text{fin}}$ ? Does **H** imply **sH**?

## 4. GRAPHIC MATROIDS AND THE FINITE AXIOM OF CHOICE

### 4.1. Strong and weak elimination properties.

**Definition 11.** A family  $\mathcal{C}$  of subsets of a set  $X$  is said to satisfy the *elimination property* if for all distinct elements  $C_1, C_2 \in \mathcal{C}$ , for every  $x \in C_1 \cap C_2$ , there exists  $C_3 \in \mathcal{C}$  such that  $C_3 \subseteq C_1 \cup C_2$  and  $x \notin C_3$ . The family  $\mathcal{C}$  is said to satisfy the *strong elimination property* if for every elements  $C_1, C_2 \in \mathcal{C}$ , for every  $x \in C_1 \cap C_2$  and every  $y \in C_1 \setminus C_2$ , then there exists  $C_3 \in \mathcal{C}$  such that  $y \in C_3 \subseteq C_1 \cup C_2$  and  $x \notin C_3$ .

Notice that the binary elimination property implies the strong elimination property, which in turn implies the elimination property.

**Notation 1.** For every finite set  $F$ , we denote by  $|F|$  the cardinal of  $F$ .

The following result is classical:

**Proposition 7** ([15], [2]). *Let  $\mathcal{C}$  be an antichain of nonempty finite subsets of a set  $X$ , and let  $\phi$  be the (finitary) operator associated to  $\mathcal{C}$ . If  $\mathcal{C}$  satisfies the weak elimination property, then:*

- (1)  $\mathcal{C}$  satisfies the strong elimination property.
- (2) The operator  $\phi$  is a closure operator.
- (3) The operator  $\phi$  is matroidal.

*Proof.* (1) See [15, Theorem 2 p. 24] or [2, Lemme 4 p. 17].

(2) See [2, Théorème 8 p. 18]. We sketch the proof. Let  $A$  be a subset of  $X$  and let  $x \in \phi(\phi(A))$ . Let us show that  $x \in \phi(A)$ . Let  $C \in \mathcal{C}$  such that  $x \in C \subseteq \phi(A) \cup \{x\}$ , and such that  $C \cap (\phi(A) \setminus A)$  is minimal. If  $(C \setminus \{x\}) \cap (\phi(A) \setminus A)$  is nonempty, let  $y \in (C \setminus \{x\}) \cap (\phi(A) \setminus A)$ ; since  $y \in \phi(A)$ , let  $C_1 \in \mathcal{C}$  such that  $y \in C_1 \subseteq A \cup \{y\}$ . Using the strong elimination property, let  $C_2 \in \mathcal{C}$  such that  $x \in C_2 \subseteq (C \cup C_1) \setminus \{y\}$ : then  $|C_2 \cap (\phi(A) \setminus A)| < |C \cap (\phi(A) \setminus A)|$ , which contradicts the minimality of  $C \cap (\phi(A) \setminus A)$ . It follows that  $(C \setminus \{x\}) \cap (\phi(A) \setminus A) = \emptyset$  and thus,  $(C \setminus \{x\}) \subseteq A$  so  $x \in \phi(A)$ .

(3) Using Lemma 1,  $\mathcal{C}$  is the set of  $\phi$ -circuits and  $\phi$  satisfies the exchange property, whence the closure operator  $\phi$  is a matroidal operator on  $X$ .  $\square$

## 4.2. The binary matroid associated to a multigraph.

4.2.1. *Multigraphs.* A *multigraph* on a set  $V$  is given by a mapping  $f : X \rightarrow [V]^1 \cup [V]^2$ , where, for each natural number  $n \geq 1$ ,  $[V]^n$  is the set of  $n$ -element subsets of  $V$ . Elements of  $X$  such that  $f(x) \in [V]^1$  are called *loops* of the multigraph.

Denoting by  $(e_v)_{v \in V}$  the canonical basis of the vector space  $\mathbb{F}_2^{(V)}$ , the *incidence matrix* of the multigraph  $f$  is the mapping  $\tilde{f} : X \rightarrow \mathbb{F}_2^{(V)}$  such that for every  $x \in X$ ,  $\tilde{f}(x)$  is  $0_{\mathbb{F}_2^{(V)}}$  if  $f(x) \in [V]^1$ , and  $\tilde{f}(x) = e_{v_1} + e_{v_2}$  if  $f(x)$  is the two-element sets  $\{v_1, v_2\}$ . The *matroid associated to the multigraph  $f$*  is the (binary) matroidal operator on  $X$  associated to the incidence matrix  $\tilde{f}$ . Loops of this matroid correspond to loops of the multigraph. A matroidal operator which is isomorphic with the (binary hence finitary) matroid associated to a multigraph is said to be *graphic*.

4.2.2. *Simple graphs.* A simple graph on a set  $V$  is a binary relation  $R$  on  $V$  which is irreflexive (for every  $x \in V$ ,  $x \not R x$ ) and symmetric (for every  $x, y \in V$ ,  $x R y \Rightarrow y R x$ ). Elements of  $V$  are called the *vertices* of the graph, and pairs  $\{x, y\}$  of vertices such that  $x R y$  are the edges of the simple graph. A simple graph on a set  $V$  with set  $E$  of edges is also denoted by  $(V, E)$ . A (partial) subgraph of a simple graph  $G$  on a set  $X$  with set of edges  $E$

is a simple graph  $(X', E')$  such that  $X' \subseteq X$  and  $E' \subseteq E$ . Two graphs  $(V_1, E_1)$  and  $(V_2, E_2)$  are *isomorphic* when there exists a bijection  $f : V_1 \rightarrow V_2$  which respects the edges.

**Notation 2.** Given some integer  $n \geq 3$ , we denote by  $C_n$  the simple graph on  $\mathbb{Z}/n\mathbb{Z} = \{0, \dots, n-1\}$  with set of edges  $E_n = \{\{i, i+n-1\} : i \in \mathbb{Z}/n\mathbb{Z}\}$ , where  $+_n$  is the additive law on  $\mathbb{Z}/n\mathbb{Z}$ ;

Given some integer  $n \geq 3$ , a simple graph is a *n-cycle* if it is isomorphic with the simple graph  $C_n$ . Given a simple graph  $G = (V, E)$ , a *cycle of the graph G* is a (partial) subgraph of  $G$  which is isomorphic with a *n-cycle* for some natural number  $n \geq 3$ .

4.2.3. *Graphic matroids.* Given a set  $V$  and a multigraph  $f : X \rightarrow [V]^1 \cup [V]^2$ , if  $E = f[X] \cap [V]^2$ , then  $(V, E)$  is called the simple graph underlying the multigraph  $f$ . Reciprocally, every simple graph  $(V, E)$  underlies the multigraph  $\text{id}_E : E \rightarrow E$  on  $V$ .

**Proposition 8** ([12, Proposition 1.1.7]). *Let  $G = (V, E)$  be a simple graph. Let  $\mathcal{C}_G$  be the set of (finite) subsets  $F$  of  $E$  such that  $F$  is the set of edges of a cycle of  $G$ . Then  $\mathcal{C}_G$  is the set of circuits of the (binary) matroidal operator  $\mathcal{M}_G$  associated to the multigraph  $\text{id}_E : E \rightarrow E$ .*

*Proof.* Let  $W$  be the  $\mathbb{F}_2$ -vector space  $\mathbb{F}_2^{(V)}$ . For every  $v \in V$  we denote by  $e_v$  the  $v$ -th vector of the canonical basis of  $W$ . We identify each edge  $\{a, b\}$  of  $G$  with the vector  $e_a + e_b$  of  $W$ . A subset  $F$  of  $E$  is a circuit of the matroid  $\mathcal{M}_G$  iff  $F$  is nonempty,  $\sum_{e \in F} e = 0_W$  and for every nonempty proper subset  $G$  of  $F$ ,  $\sum_{e \in G} e \neq 0_W$ ; replacing each element  $e = \{a, b\}$  of  $E$  by  $e_a + e_b$ , this means that  $F \neq \emptyset$ , every vertex of the subgraph  $(\cup F, F)$  has even degree, but for every proper subset  $G$  of  $F$ , some vertex of the subgraph  $(\cup G, G)$  has an odd degree; this means that  $F$  is a nonempty finite union of cycles of  $G$ , and that no proper subset of  $F$  is a cycle of  $G$ ; equivalently,  $F$  is a cycle of the graph  $G$ .  $\square$

*Remark 9.* If  $f : X \rightarrow [V]^1 \cup [V]^2$  is a multigraph on a set  $V$ , if  $\mathcal{M}_f$  is the matroid associated to the multigraph  $f$ , loops of  $\mathcal{M}_f$  are the singletons  $\{x\}$  such that  $x \in X$  and  $f(x)$  is a singleton; circuits of cardinal two of  $\mathcal{M}_f$  are the pairs  $\{x, y\}$  of distinct elements of  $X$  such that  $f(x) = f(y)$ . Given some natural number  $n \geq 3$ , then the  $n$ -circuits of  $\mathcal{M}_f$  are the  $n$ -element subsets  $\{x_1, \dots, x_n\}$  of  $X$  such that  $\{f(x_1), \dots, f(x_n)\}$  is the set of edges of a  $n$ -cycle of the underlying simple graph of  $f$ .

#### 4.3. An equivalent of $\text{AC}^{\text{fin}}$ in terms of graphic matroids.

**Theorem 5.** *The following statements are equivalent:*

- (1)  $\text{AC}^{\text{fin}}$
- (2) *For every family  $(A_i)_{i \in I}$  of pairwise disjoint nonempty finite sets with at least two elements, the (binary hence finitary) matroid associated to this family is graphic.*

*Proof.* (1)  $\Rightarrow$  (2) Let  $(A_i)_{i \in I}$  be an infinite family of pairwise disjoint nonempty finite sets, such that for every  $i \in I$ ,  $n_i := |A_i| \geq 2$ . Let  $\mathcal{M}$  be the matroid associated to the family  $(A_i)_{i \in I}$ : the underlying set of  $\mathcal{M}$  is  $M := \bigcup_{i \in I} A_i \cup \{O\}$  where  $O \notin \bigcup_{i \in I} A_i$ . We consider a family  $(V_i)_{i \in I}$  of pairwise disjoint linearly ordered finite sets such that for each  $i \in I$ ,  $|V_i| = n_i - 1$ . We also consider two distinct elements  $a$  and  $b$  not belonging to  $\bigcup_{i \in I} V_i$ , and we define the set  $V := \{a, b\} \cup \bigcup_{i \in I} V_i$ . Since each  $V_i$  is linearly ordered, for each  $i \in I$ , we consider a graph  $G_i$  on  $V_i \cup \{a, b\}$  which is a  $n_i$ -cycle and such that  $\{a, b\}$  is an edge of this graph: we denote by  $E_i$  the set of edges of  $G_i$  which are not equal to the edge  $\{a, b\}$  of  $G_i$ .

We consider the simple graph  $G$  on  $V$  which admits  $E := \bigcup_{i \in I} E_i \cup \{a, b\}$  as set of edges (see Figure 1). Notice that every finite subgraph of  $G$  is planar. We denote by  $\mathcal{G}$  the matroid on  $E$  associated to the graph  $G$ . Using  $\mathbf{AC}^{\text{fin}}$ , we consider a family  $(f_i)_{i \in I}$  such that for every  $i \in I$ ,  $f_i : E_i \rightarrow A_i$  is a bijection. It follows that  $f := \bigcup_{i \in I} f_i$  is a bijection from  $\bigcup_{i \in I} E_i$  to  $\bigcup_{i \in I} A_i$  and we extend it into a bijection from  $E$  to  $M$ . Then the bijection  $f$  respects circuits of  $\mathcal{M}_G$  and  $\mathcal{M}$  thus the matroid  $\mathcal{M}$  is graphic.

(2)  $\Rightarrow$  (1) Let  $(A_i)_{i \in I}$  be a family of pairwise disjoint nonempty finite sets with at least two

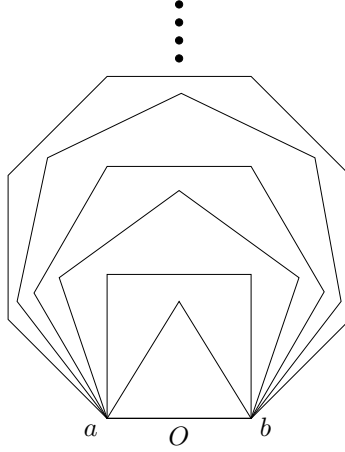


FIGURE 1. The graph  $G$  associated to the matroid  $\mathcal{M}$

elements. Let  $\mathcal{M}$  be the finitary matroid on  $\{O\} \cup \bigcup_{i \in I} A_i$  associated to this family. Let  $G = (V, E)$  be a graph such that  $\mathcal{M}$  is the graphic matroid associated to  $G$ . Let  $a, b$  be the two extremities of the edge  $O$  of  $G$ . Then, for every  $i \in I$ ,  $A_i \cup \{O\}$  is the set of edges of a cycle of the graph  $G$ : let  $e_i$  be the the unique edge of  $A_i$  which is incident to the vertex  $a$ . Then  $(e_i)_{i \in I}$  is a choice function for the family  $(A_i)_{i \in I}$ .  $\square$

Consider the following well known consequences of  $\mathbf{AC}$  imply  $\mathbf{AC}^{\text{fin}}$ :

**MG<sub>1</sub>**: “For every binary matroid  $\mathcal{M}$ , if every finite minor of  $\mathcal{M}$  is graphic then  $\mathcal{M}$  is graphic”.

**MG<sub>2</sub>**: “For every binary matroid  $\mathcal{M}$ , if every finite submatroid of  $\mathcal{M}$  is graphic and planar then  $\mathcal{M}$  is graphic”.

**MG<sub>3</sub>**: “For every binary matroid  $\mathcal{M}$ , if every finite minor of  $\mathcal{M}$  is graphic and planar then  $\mathcal{M}$  is graphic”.

Notice that both statements **MG<sub>1</sub>** and **MG<sub>2</sub>** imply **MG<sub>3</sub>**. Moreover, every finite minor of the binary matroid used in the proof of Theorem 5 is graphic and planar, and thus, **MG<sub>3</sub>** imply  $\mathbf{AC}^{\text{fin}}$ .

**Question 2.** Does  $\mathbf{AC}^{\text{fin}}$  or **sH** imply one of the statements **MG<sub>1</sub>**, **MG<sub>2</sub>** or **MG<sub>3</sub>**?

**Question 3.** Is the following statement provable in **ZF**: “Every (infinite) graphic matroid is hyperplane-accessible.”

In the diagram in Figure 2, we add the statement **D<sub>Q</sub>** which implies the statement  $\mathbf{AC}^{\mathbb{Z}}$ : “Every family of posets isomorphic with the linear order  $\mathbb{Z}$  has a nonempty product.” (see

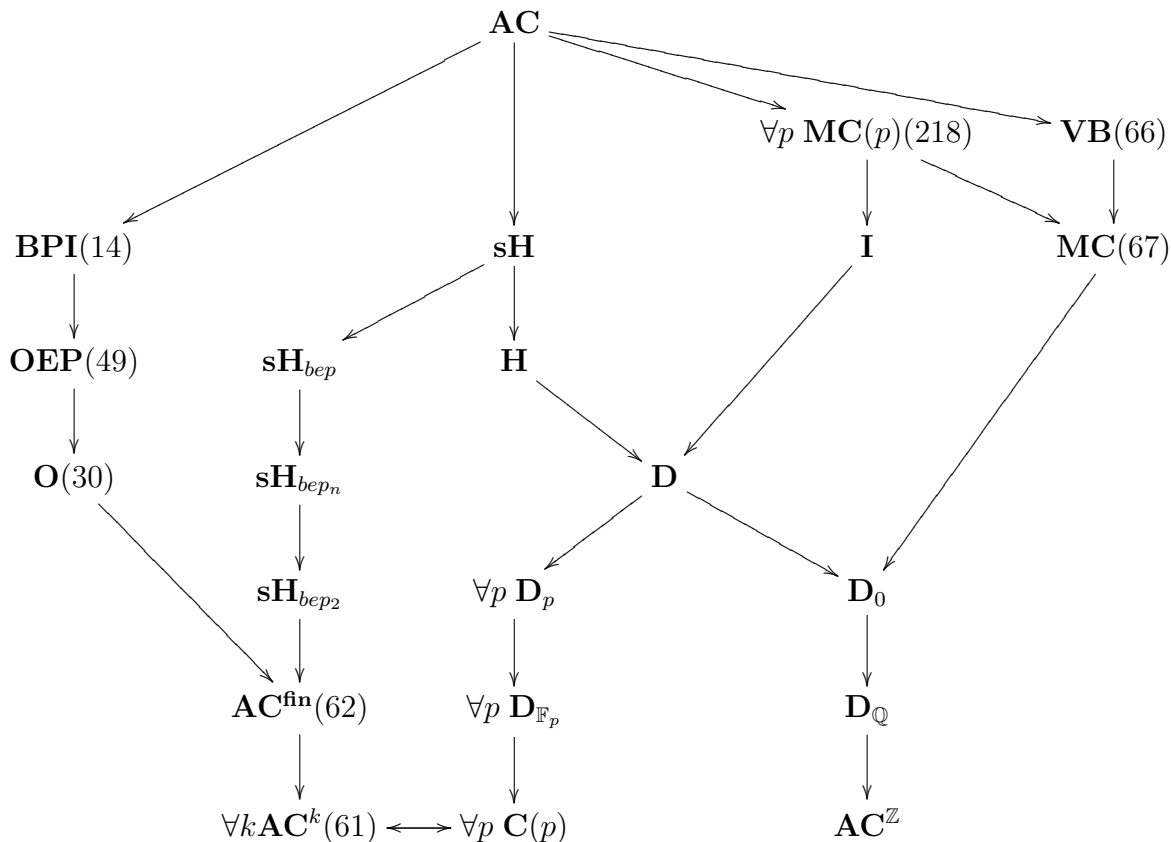


FIGURE 2. Summary diagram of the axioms

[10, Theorem 4]). We also add the statement  $\mathbf{D}_0$  (*resp.*  $\mathbf{D}_p$ ) which is  $\mathbf{D}$  restricted to vector spaces over a commutative field  $\mathbb{K}$  of characteristic 0 (*resp.*  $p$ ).

**Question 4.** The statements  $\mathbf{BPI}$  (“Every non trivial Boolean algebra has a maximal ideal”),  $\mathbf{OEP}$  (“Every partial order on a set  $X$  can be extended into a linear order on  $X$ ”) and  $\mathbf{O}$  (“On every set  $X$  there exists a linear order”) (see forms 14, 49 and 30 of [7]) are well known consequences of  $\mathbf{AC}$  which are stronger than  $\mathbf{AC}^{\text{fin}}$ . Are there implications between one of them and  $\mathbf{H}$  or  $\mathbf{sH}$  or  $\mathbf{sH}_{\text{bep}}$  or  $\mathbf{sH}_{\text{bep}_n}$  for some integer  $n \geq 2$ ?

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LABORATOIRE D'INFORMATIQUE ET MATHÉMATIQUES, PARC TECHNOLOGIQUE UNIVERSITAIRE, BÂTIMENT 2, 2 RUE JOSEPH WETZELL, 97490 SAINTE CLOTILDE  
*Email address:* Marianne.Morillon@univ-reunion.fr  
*URL:* <http://lim.univ-reunion.fr/staff/mar/>