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# A multi-physics optimization problem in natural convection for a vertical channel asymmetrically heated

Delphine Ramalingom<sup>a,\*</sup>, Pierre-Henri Cocquet<sup>a,\*</sup>, Rezah Maleck<sup>a</sup>, Alain Bastide<sup>a</sup>

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## Abstract

This paper deals with a multi-physics topology optimization problem in an asymmetrically heated channel, considering both pressure drop minimization and heat transfer maximization. The problem is modeled under the assumptions of steady-state laminar flow dominated by natural convection forces. The incompressible Navier-Stokes equations coupled to the convection-diffusion equation through the Boussinesq approximation are employed and are solved with the finite volume method. In this paper, we first propose two new objective functions: the first one takes into account work of pressures forces and contributes to the loss of mechanical power while the second one is related to thermal power and is linked to the maximization of heat exchanges. In order to obtain a well-defined fluid-solid interface during the optimization process, we use a sigmoid interpolation function for both the design variable field and the thermal diffusivity. We also use adjoint sensitivity analysis to compute the gradient of the cost functional. Results are obtained for various Richardson (Ri) and Reynolds (Re) number such

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that  $100 < \text{Ri} < 400$  and  $\text{Re} \in \{200, 400\}$ . In all considered cases, our algorithm succeeds to enhance one of the phenomenon modelled by our new cost functions without deteriorating the other one. We also compare the values of standard cost functions from the litterature over iteration of our optimization algorithm and show that our new cost functions have no oscillatory behavior. As an additional effect to the resolution of the multi-physics optimization problem, we finally show that the reversal flow is suppressed at the exit of the channel.

*Keywords:* Natural convection, Mixed convection, Thermal power, Mechanical power, Sigmoid function, Vertical channel

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## 1. Introduction

Topology optimization is a powerful and a popular tool for designers and engineers to design process. Its notion was initially introduced in structural mechanics by Bendsøe et al. [1]. In order to increase the structural stiffness under certain load, they targeted the optimal material density distribution by identifying areas in which material should be added. They expressed the design problem in terms of real valued continuous function per point, with values ranging from zero (indicating the presence of void/absence of material) to unity (indicating solid). The method has then been developed to numerous problems in structural mechanics [2, 3, 4, 5, 6, 7, 8]. In fluid mechanics, the same idea was adapted to Stokes flows by Borrvall and Petersson [9], by introducing a real-valued inverse permeability multiplied by a kinematic viscosity dependent term into the flow equations. Domain areas corresponding to the fluid flow are those where  $\alpha$  is equal to 0 or, in practice,

15 inferior or equal to a user-defined positive number  $\alpha_0$ . Domain areas where  
 16  $\alpha$  value are not equal to 0 or superior to  $\alpha_0$  define the part of the domain to  
 17 be solidified [10]. The optimal solid walls to be designed correspond to the  
 18 interfaces between the two aforementioned areas. So, the goal of topology  
 19 optimization is to compute the optimal  $\alpha$  field in order to minimize some  
 20 objective function under consideration.

21 Contrary to topology optimization applied to design structure, research  
 22 on topology optimization applied to heat transfer and fluid dynamics is quite  
 23 recent. Dbouk [11] presented a review about topology optimization design  
 24 methods that have been developed for heat transfer systems, and for each of  
 25 them, he presented their advantages, limitations and perspectives. In topol-  
 26 ogy optimization problems with large number of design variables, gradient-  
 27 based algorithms are frequently used to compute accurate solutions efficiently  
 28 [12, 13, 14, 15, 16, 17]. This algorithm starts with a given geometry and it-  
 29 erates with information related to the derivatives (sensitivity derivatives)  
 30 of the objective function with respect to the design variables. Among the  
 31 methods used to compute the sensitivity derivatives required by gradient-  
 32 based methods, the adjoint method [12, 18, 19, 20, 13] has been receiving  
 33 a lot of attention since the cost of computing the necessary derivatives is  
 34 independent from the number of design variables. Papoutsis-Kiachagias and  
 35 Giannakoglou [19] present a review on continuous adjoint method applied to  
 36 topology optimization for turbulent flows. Othmer [20] derived the contin-  
 37 uous adjoint formulations and the boundary conditions on ducted flows for  
 38 typical cost functions. He proposed an objective function that conduct to  
 39 reduce pressure drop in open cavity. The originality of his method is the

40 versatility of the formulation where the adjoint boundary conditions were  
 41 expressed in a form that can be adapted to any commonly used objective  
 42 function. Then, for the automotive industry, Othmer et al. [21] implemented  
 43 several objective functions like dissipated power, equal mass flow through dif-  
 44 ferent outlets and flow uniformity. To describe the transition and interface  
 45 between two different materials in the domain, the Solid Isotropic Material  
 46 with Penalization (SIMP) technique [1, 22] is the mostly used in the litera-  
 47 ture as the interpolation technique in topology optimization. This approach  
 48 represents the non-fluid regions as infinitely stiff, a penalty to the flow, such  
 49 that no interaction is modeled. Yoon [17] presented a method for solving  
 50 static fluid-structure interaction problems by converting the stresses at the  
 51 fluid/solid interfaces into a volume integral representation. A new method  
 52 of interpolation in order to improve the interface fluid/solid during the opti-  
 53 mization process was presented by Ramalingom et al. [10]. They proposed to  
 54 use two sigmoid functions in order to interpolate material distribution and  
 55 thermal conductivity and show that the transition zones, that is the zones  
 56 where the velocity of the fluid is too large to be considered as solid, can be  
 57 made arbitrary small.

58 Convection typically is categorized, according to fluid motion origins, as  
 59 forced, mixed or natural [23, 24]. All aforementioned references on heat trans-  
 60 fer problems are dealt in case of forced or mixed convection. This means that  
 61 the fluid motion is driven by a fan, pump or pressure gradient often modeled  
 62 by a non-null velocity at entrance of the studied domain. Natural convection  
 63 involves a heat dissipation mechanism where the fluid motion is governed by  
 64 differences in buoyancy arising from temperature gradients. More precisely,

65 the fluid is submitted to a small velocity, the corresponding heat rates are also  
 66 much lower than those associated with forced convection. Bruns [16] applied  
 67 topology optimization to convection-dominated heat transfer problems. He  
 68 highlighted numerical instabilities in convection-dominated diffusion prob-  
 69 lems and justified them by the density-design-variable-based topology opti-  
 70 mization. Alexandersen et al. [25] applied topology optimization to natural  
 71 convection problems. He obtained complex geometries that improved the  
 72 cooling of heat sinks. They encountered difficulties as oscillatory behaviour  
 73 of the solver, namely a damped Newton method, used for the optimization  
 74 computations. They also reported intermediate relative densities that ampli-  
 75 fied the natural convection effects leading to non-vanishing velocity in some  
 76 solid parts of the computational domain. As a result, those zones are con-  
 77 sidered as solid by the optimization algorithm while they should be treated  
 78 as fluid. Both authors used filtering techniques in order to avoid numerical  
 79 instabilities [26, 27, 28, 13, 14].

80 In this paper, we deal with some topology optimization problems for heat  
 81 and mass transfers, considering the physical case of an asymmetrically heated  
 82 vertical channel. This geometry has been subject to numerous studies in the  
 83 literature [29, 30, 31, 32]. The first investigations date back to 1942 with the  
 84 works of Martinelli and Boelter [33] according to the comprehensive review  
 85 of Jackson et al. [34]. Developing and fully developed laminar free convection  
 86 within heated vertical plates was subsequently investigated numerically by  
 87 Bodoia and Osterle [35] and was experienced by Elenbaas [36]. Since then,  
 88 many studies were carried out. This great interest can be explained by  
 89 the fact that this configuration is encountered in several industrial devices

90 such as solar chimney, energy collectors, electronic components and even in  
 91 nuclear reactors. The optimization of these systems simultaneously demands  
 92 compactness, efficiency and control of heat and mass transfers.

93 This paper investigates numerical instabilities that can be developed in  
 94 convection-dominated diffusion problems [37, 16]. Instead of proposing meth-  
 95 ods to improve filtering techniques and avoid these instabilities, we propose  
 96 a new expression of objective functions within the framework of topology  
 97 optimization applied to an asymmetrically heated vertical channel. The ge-  
 98 ometry considered here is the model proposed by Desrayaud et al. [38] and  
 99 corresponding to a boundary layer flow with a reversal flow at the exit [39] .  
 100 We study the influence of Richardson number, which represents the impor-  
 101 tance of natural convection relative to the forced convection, in the optimized  
 102 design. Our optimization algorithm succeeds especially to suppress the rever-  
 103 sal flow and to increase the thermal exchanges in the channel for the range of  
 104 Richardson numbers considered. Moreover, no numerical instabilities have  
 105 been encountered during the optimization process and no filter techniques  
 106 have been used. We finally compare the stability of our results at the end  
 107 of the optimization process to those obtained with classical cost functions of  
 108 the literature.

## 109 **2. Governing equations**

110 The flows considered in this paper are assumed to be in a steady-state  
 111 laminar regime, newtonian and incompressible. Figure 1 shows the configu-  
 112 ration of the computational domain  $\Omega$ .

113 Physical properties of the fluid are kinematic viscosity  $\nu$  and thermal

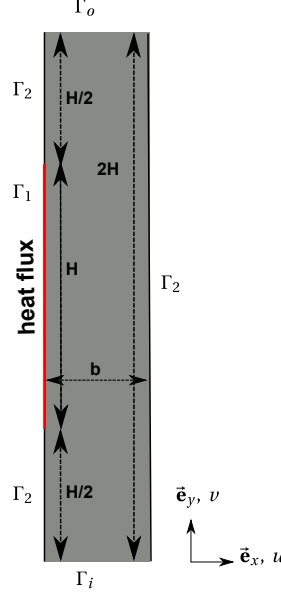


Figure 1: Geometry of the problem

114 conductivity  $\lambda_f$ . First, parameters governing the flow is the Reynolds num-  
 115 ber defined as  $\text{Re} = U b/\nu$ , with  $b$  being the width of the channel and  $U$   
 116 the reference velocity based on the average velocity at the channel entrance.  
 117 The Prandtl number is defined as  $\text{Pr} = \nu/k$ . It describes the ratio between  
 118 the momentum and thermal diffusivities of the fluid. For  $\text{Pr} < 1$ , the energy  
 119 is transferred to the fluid by heat conduction since it prevails over convec-  
 120 tion. For  $\text{Pr} > 1$  the energy is transferred through the fluid mainly thanks  
 121 to convection. In this paper, we consider only fluids with small Prandtl that  
 122 is  $\text{Pr} < 1$ . The Grashof number is defined as  $\text{Gr}_b = g \beta \Delta T b^3/\nu^2$  and rep-  
 123 resents the ratio between buoyancy and viscous force.  $\Delta T = -\phi/\lambda$ ,  $\phi$  is the  
 124 thermal flux on  $\Gamma_1$  and  $\lambda$  is the thermal conductivity of the fluid. In thermal  
 125 convection problems, Richardson number  $\text{Ri} = \text{Gr}_b/\text{Re}^2$  represents the im-  
 126 portance of natural convection relative to the forced convection. For values



superior to unity, we know that the flow is dominated by natural convection. Under these assumptions and thanks to a method given in Borrvall and Petersson [9], the porosity field is introduced in the steady-state Navier-Stokes equation as a source term  $h_\tau(\alpha)\mathbf{u}$  which yields a Brinkman-like model with a convection term. Therefore, the dimensionless form of the Navier-Stokes and energy equations are written as follows:

$$\begin{aligned}
\nabla \cdot \mathbf{u} &= 0 & \text{in } \Omega \\
(\mathbf{u} \cdot \nabla) \mathbf{u} &= -\nabla p + \text{Re}^{-1} \Delta \mathbf{u} - h_\tau(\alpha)\mathbf{u} + \text{Ri } \theta \vec{e}_y & \text{in } \Omega \\
\nabla \cdot (\mathbf{u}\theta) &= \nabla \cdot (\text{Re}^{-1} \text{Pr}^{-1} k_\tau(\alpha) \nabla \theta) & \text{in } \Omega
\end{aligned} \tag{1}$$

where  $(\mathbf{u}, p, \theta)$  correspond respectively to dimensionless velocity, pressure and temperature and are usually referred as the primal variable in the current setting. Parameter  $\alpha$  is the spatially varying design variable field determined by the optimization algorithm. For the natural-dominated convection problem, we consider the following boundary conditions:

$$\begin{aligned}
\mathbf{u} &= 0, \quad \nabla p = 0, \quad \partial_n \theta = -1 & \text{on } \Gamma_1, \\
\mathbf{u} &= 0, \quad \nabla p = 0, \quad \partial_n \theta = 0 & \text{on } \Gamma_2, \\
\mathbf{u} &= u_i \mathbf{e}_y, \quad \nabla p = 0, \quad \theta = 0 & \text{on } \Gamma_i, \\
\partial_n \mathbf{u} &= 0, \quad p = 0, \quad \partial_n \theta = 0 & \text{on } \Gamma_o,
\end{aligned} \tag{2}$$

where  $\partial_n$  is the normal derivative defined as  $\partial_n = \mathbf{n} \cdot \nabla$ .

### 3. Topology optimization formulation

The main goal of this paper is to solve a multi-physics optimization problem in the asymmetrically heated channel, considering both pressure drop

144 minimization described by a first objective function  $\mathcal{J}_1$  and heat transfer  
 145 maximization described by a second objective function  $\mathcal{J}_2$ . The optimiza-  
 146 tion problem can be stated as:

$$\begin{aligned} & \text{minimize: } \mathcal{J} = \gamma_1 \mathcal{J}_1 + \gamma_2 \mathcal{J}_2, \\ & \text{subject to: } \text{Governing equations (1),} \\ & \quad \text{Boundary conditions (2).} \end{aligned} \tag{3}$$

148 where the cost function  $\mathcal{J}$  is the combination of the two objectives functions,  
 149  $\gamma_1$  and  $\gamma_2$  are weighting coefficients. It is easy to observe that, for  $\gamma_1 \gg \gamma_2$ , the  
 150 multi-objective function is directed to a minimum power dissipation problem,  
 151 while for  $\gamma_1 \ll \gamma_2$ , a maximum heat dissipation problem arises.

### 152 3.1. Cost functions

153 As indicated by several authors [28, 13, 18, 15], cost functions  $\mathcal{J}_1$  and  $\mathcal{J}_2$   
 154 are expressions of multi-physics powers that one either wish to minimize or  
 155 to maximize. A classical cost function used by Marck et al. [13], Othmer [20]  
 156 for evaluating total pressure losses is :

$$157 \quad f(\mathbf{u}, p) = \int_{\Gamma} -\mathbf{n} \cdot \mathbf{u} \left( p + \frac{1}{2} |u|^2 \right) dS. \tag{4}$$

158 Also, Marck et al. [13], Kontoleon et al. [18] evaluate the thermal power  
 159 by this expression:

$$160 \quad f(\mathbf{u}, \theta) = \int_{\Gamma} \mathbf{n} \cdot \mathbf{u} \theta dS. \tag{5}$$

161 In our study, we propose to evaluate mechanical power and thermal power  
 162 via two new expressions of both cost functions. As we will show below, these  
 163 functions avoid numerical instabilities encountered in convection-dominated

diffusion optimization problems and do not require the use of filter techniques. They will also permit to stabilize the optimization process. For a system with an inlet, an outlet, an average velocity and an average temperature, we define the thermal power as the product of the mass flow, the volume heat capacity and the difference of temperature between the entrance and the exit of the system. Likewise, mechanical power is defined as the product of mass flow rate and the difference of total pressure between the entrance and the exit of the system. In that way, we chose the work of pressure forces to minimize the power dissipated in the channel as used in systemic approach. Hence, the first cost function can be written as:

$$\mathcal{J}_1(\mathbf{u}, p) = -\frac{1}{|\Gamma_i|} \int_{\Gamma_i} p_t \, dS \int_{\Gamma_i} \mathbf{u} \cdot \mathbf{n} \, dS - \frac{1}{|\Gamma_o|} \int_{\Gamma_o} p_t \, dS \int_{\Gamma_o} \mathbf{u} \cdot \mathbf{n} \, dS, \quad (6)$$

where  $p_t = p + 1/2 \, \mathbf{u}^2$  is the total pressure,  $\Gamma_i$  and  $\Gamma_o$  are respectively the entrance and the exit of the channel.

The second cost function concerns thermal exchange maximization and is given by:

$$\mathcal{J}_2(\mathbf{u}, p) = \frac{1}{|\Gamma_i|} \int_{\Gamma_i} \theta \, dS \int_{\Gamma_i} \mathbf{u} \cdot \mathbf{n} \, dS + \frac{1}{|\Gamma_o|} \int_{\Gamma_o} \theta \, dS \int_{\Gamma_o} \mathbf{u} \cdot \mathbf{n} \, dS. \quad (7)$$

### 3.2. Multi-objective optimization

In multi-objective optimization, the challenge is to benefit from both objective functions. As introduced in previous subsection, the objective function based on maximization of thermal exchanges can involve the increase of pressure drop and conversely for the objective function relative to the dissipation of power. The set of solutions can be reached by using an Aggregate

186 Objective Function (AOF), also known as the weighted-sum approach, which  
 187 is based on a linear combination of both objective functions [40, 41]. Before  
 188 combining linearly the two functions, they must then be rescaled to have the  
 189 same order of magnitude. This can be achieved as follows:

$$190 \quad \hat{f} = \frac{f - f_{min}}{f_{max} - f_{min}} \quad (8)$$

191 where  $f$  is either  $\mathcal{J}_1$  or  $\mathcal{J}_2$ . As explicated by Marck et al. [13], the other four  
 192 parameters are determined by solving both optimization problems indepen-  
 193 dently (3) for min  $\mathcal{J}_1$  and max  $\mathcal{J}_2$  with maximal porosity ( $\alpha_{max}$ ). Conse-  
 194 quently, both rescaled objective functions are ranged between 0 and 1. Such  
 195 a rescaling allows to consider the following linear combination:

$$196 \quad \hat{\mathcal{J}} = \omega \hat{\mathcal{J}}_1 - (1 - \omega) \hat{\mathcal{J}}_2 \quad (9)$$

197 where  $\omega$  is the weight balancing the influence of each objective function  
 198 ( $\omega \in [0, 1]$ ). Note that this combination involves the opposite of  $\mathcal{J}_2$  since  
 199 the optimization algorithm aims at minimizing the combinatory function  $\hat{\mathcal{J}}$ .  
 200 Thereafter,  $\hat{\mathcal{J}}_1$  and  $\hat{\mathcal{J}}_2$  are used only during the optimization process.

## 201 4. Topology optimization methods

202 Applying topology optimization to this problem aims to minimize an  
 203 objective function  $\mathcal{J}$  by finding an optimal distribution of solid and fluid  
 204 element in the computational domain. The goal of topology optimization is to  
 205 end up with binary designs, i.e avoid that the design variables take other value  
 206 than those representing the fluid or the solid. This is usually carried out by  
 207 penalizing the intermediate densities with respect to the material parameters,

such as inverse permeability and effective conductivity. A standard approach is to use interpolation functions. We also use gradient-based algorithm that relies on the continuous adjoint method.

#### 4.1. Interpolation functions

The additional term  $h_\tau(\alpha)$  in (1) physically corresponds to the ratio of a kinematic viscosity and a permeability. The interpolation function for the thermal diffusivity of each element is  $k_\tau(\alpha)$ , both functions were defined in Ramalingom et al. [10]. Regions with very high permeability can be considered as solid regions, and those with low permeability regions are interpreted as pure fluid.

Inverse permeability is thus interpolated with the following formula

$$h_\tau(\alpha) = \alpha_{max} \left( \frac{1}{1 + \exp(-\tau(\alpha - \alpha_0))} - \frac{1}{1 + \exp(\tau\alpha_0)} \right), \quad (10)$$

where  $\alpha_0$  is the abscissa slope of the sigmoid function,  $\alpha_{max}$  is the maximum value that the design parameter  $\alpha$  can take and is set to  $2 \cdot 10^5$ . In Ramalingom et al. [10], it is shown that the parameter  $\alpha_0$  is linked to the quantity of material added in the domain  $\Omega$ . In the present study, we chose  $\alpha_0 = 20$ .

The difference in the adimensional thermal conductivities of the fluid and solid regions is considered through the interpolation of effective conductivity  $k_\tau$  as follows:

$$k_\tau(\alpha) = \frac{1}{k_f} \left[ k_f + (k_s - k_f) \left( \frac{1}{1 + \exp(-\tau(\alpha - \alpha_0))} - \frac{1}{1 + \exp(\tau\alpha_0)} \right) \right], \quad (11)$$

where  $k_s$  and  $k_f$  are respectively the thermal diffusivity of the fluid domains and the thermal conductivity of solid domains.

230 *4.2. Adjoint problem*

231 The Lagrange multiplier method [42] is used to get an optimization prob-  
 232 lem without constraints and can be used to get the sensitivity of the cost  
 233 function  $\mathcal{J}$ . The Lagrangian is defined as

$$\begin{aligned} \mathcal{L}(\mathbf{u}, p, \theta, \mathbf{u}^*, p^*, \theta^*, \alpha) &= \mathcal{J}(\mathbf{u}, p, \theta) \\ &+ \int_{\Omega} \mathcal{R}(\mathbf{u}, p, \theta) \cdot (\mathbf{u}^*, p^*, \theta^*) d\Omega, \end{aligned} \quad (12)$$

235 where  $(\mathbf{u}^*, p^*, \theta^*)$  are the adjoint variables and  $\mathcal{R}(\mathbf{u}, p, \theta) = 0$  corresponds  
 236 to the governing equations (1). The critical points of  $\mathcal{L}$  with respect to the  
 237 adjoint variables give the constraint of the optimization problem (3) while the  
 238 critical point with respect to the primal variable yield the so-called adjoint  
 239 problem. The latter can be derived as in Othmer [20] (see also [10]) and is  
 240 given by

$$\nabla p^* - h_{\tau}(\alpha) \mathbf{u}^* + \theta \nabla \theta^* + Re^{-1} \Delta \mathbf{u}^* + \nabla \mathbf{u}^* \cdot \mathbf{u} - (\mathbf{u}^* \cdot \nabla) \mathbf{u} = 0 \quad \text{in } \Omega,$$

$$\nabla \cdot \mathbf{u}^* = 0 \quad \text{in } \Omega,$$

$$Ri \mathbf{u}^* \cdot \vec{e}_y + \mathbf{u} \cdot \nabla \theta^* + \nabla \cdot (Re^{-1} Pr^{-1} k_{\tau}(\alpha) \nabla \theta^*) = 0 \quad \text{in } \Omega, \quad (13)$$

241

242 together with the boundary conditions

$$\mathbf{u}^* = 0, \quad \partial_n \theta^* = 0, \quad \partial_n p^* = 0 \quad \text{on } \Gamma_1 \cup \Gamma_2,$$

$$u_t^* = 0, \quad \theta^* = 0, \quad \frac{\partial \mathcal{J}}{\partial p} = -u_n^*, \quad \partial_n p^* = 0 \quad \text{on } \Gamma_i,$$

243

$$u_t^* = 0, \quad \frac{\partial \mathcal{J}}{\partial \theta} = -\theta^* u_n - Re^{-1} Pr^{-1} k_{\tau}(\alpha) \partial_n \theta^* \quad \text{on } \Gamma_o,$$

$$\frac{\partial \mathcal{J}}{\partial \mathbf{u}} \cdot \mathbf{n} = -p^* - \theta^* \theta - Re^{-1} \partial_n \mathbf{u}^* \cdot \mathbf{n} - u_n^* u_n - \mathbf{u} \cdot \mathbf{u}^* \quad \text{on } \Gamma_o,$$

244 where  $u_n = \mathbf{u} \cdot \mathbf{n}$  and the derivatives of  $\mathcal{J}$  defined in (3) with respect to  
 245  $(\mathbf{u}, p, \theta)$  are given by

$$\begin{aligned}
 \left. \frac{\partial \mathcal{J}}{\partial p} \right|_{\Gamma_i} &= -\gamma_1 \frac{1}{|\Gamma_i|} \int_{\Gamma_i} \mathbf{u} \cdot \mathbf{n} \, dS \\
 \left. \frac{\partial \mathcal{J}}{\partial \theta} \right|_{\Gamma_o} &= \gamma_2 \frac{1}{|\Gamma_o|} \int_{\Gamma_o} \mathbf{u} \cdot \mathbf{n} \, dS \\
 \left. \frac{\partial \mathcal{J}}{\partial \mathbf{u}} \right|_{\Gamma_o} &= -\gamma_1 \frac{1}{|\Gamma_o|} \mathbf{n} \int_{\Gamma_o} p_t \, dS - \gamma_1 \mathbf{u} \cdot \int_{\Gamma_o} \mathbf{u} \cdot \mathbf{n} \, dS \\
 &\quad + \gamma_2 \frac{1}{|\Gamma_o|} \mathbf{n} \int_{\Gamma_o} \theta \, dS.
 \end{aligned} \tag{15}$$

We emphasize that the adjoint problem (13,14) has been derived for the cost function  $\mathcal{J}$  given by (3). Nevertheless, in the numerical result, we wish to minimize the rescaled cost function  $\hat{\mathcal{J}}$  whose derivatives with respect to  $(\mathbf{u}, p, \theta)$  are obtained thanks to (15) with

$$\gamma_1 = \frac{\omega}{\mathcal{J}_{1,max} - \mathcal{J}_{1,min}}, \quad \gamma_2 = \frac{-(1 - \omega)}{\mathcal{J}_{2,max} - \mathcal{J}_{2,min}}.$$

#### 247 4.3. Implementation

248 Topology optimization problem is solved by iterative calculations. The  
 249 main steps of the algorithm for the topology optimization consist to compute  
 250 sensitivities by adjoint method and evaluate the optimality condition. If  
 251 a stopping criterion is met, the computation is terminated. The forward  
 252 problem (1) and the adjoint problem (13) are implemented using OpenFOAM  
 253 [43]. The optimality condition is given by the critical point of the Lagrangian  
 254 with respect to the design parameter  $\alpha$  as follows:

$$\begin{aligned}
 \frac{\partial h_\tau}{\partial \alpha} \mathbf{u} \cdot \mathbf{u}^* + \frac{\partial k_\tau}{\partial \alpha} \nabla \theta \cdot \nabla \theta^* &= 0 \quad \text{in } \Omega, \\
 \frac{\partial k_\tau}{\partial \alpha} \theta^* &= 0 \quad \text{with } \partial_n \theta = -1 \quad \text{on } \Gamma_1.
 \end{aligned} \tag{16}$$

256 The design variables are evaluated by using the conjugated-gradient descent  
 257 direction method associated to Polack-Ribiere method. To summarize, the  
 algorithm for the topology optimization is described in Table 1.

---

Step 0.	Initialization: set all the constants Re, Ri, Pr
Step 1.	Solve the forward problem (1),(2) problem with the Finite Volume Method
Step 2.	Compute objective and constraint values
Step 3.	Compute sensitivities by adjoint method
Step 4.	Evaluate the optimality condition. If a stopping criterion is met, terminate the calculation.
Step 5.	Project design variable $\alpha$ with $\alpha_k = \max(0, \min(\alpha, \alpha_{\max}))$
Step 6.	Update design variables $\alpha$ with $\alpha_{k+1} = -\nabla \mathcal{J}_{k+1} + \beta_{k+1}^{PR} \alpha_k$ and return to step 1

---

Table 1: Algorithm of topology optimization

258

## 259 5. Results

260 First of all, it is important to note that the problem is purely academic and  
 261 the values of various parameters as Prandtl number set to 0.71 corresponding  
 262 to a fluid/liquid, and  $k_s/k_f$  have been therefore set to three. As they are in  
 263 the range of realistic problems, they are thought to be representative of the  
 264 problems that can be physically encountered.

265 The problem is investigated for  $Ri = \{100, 200, 400\}$  under constant  $Re =$   
 266 400 which is equivalent to increase the dominance of natural convection in the  
 267 conducto-convection problem. These values have been chosen in accordance  
 268 with the study of Li et al. [44] on reversal flows in the asymmetrically heated  
 269 channel. The problem is also investigated for  $Re = 200$  and  $Ri = 400$  in  
 270 order to highlight the effect of convection on the optimization results. We



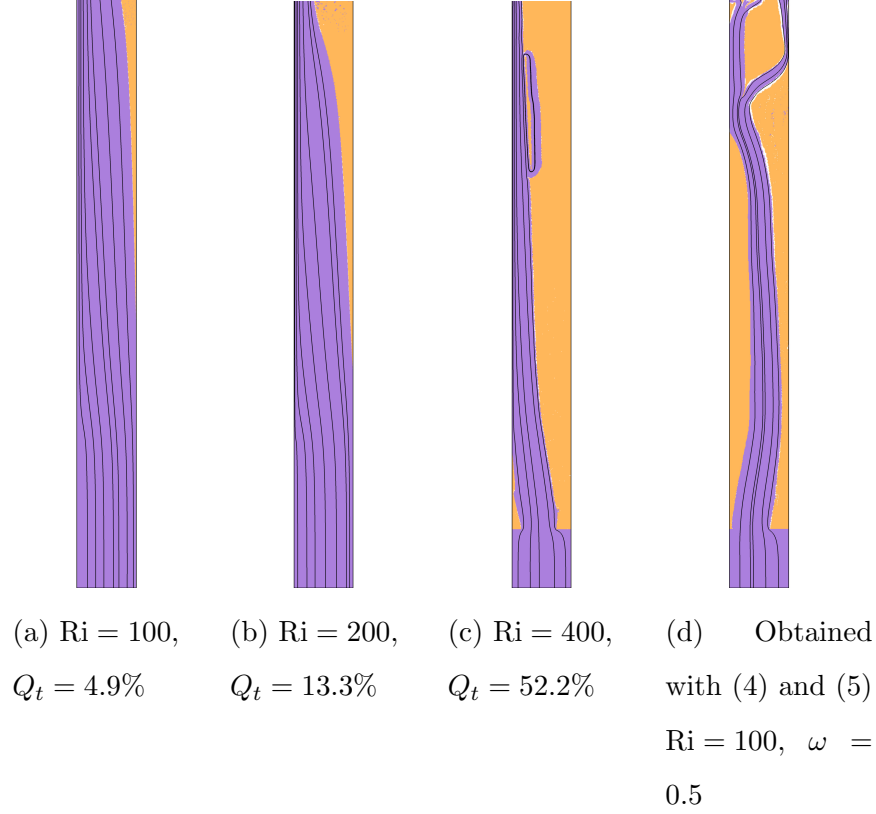


Figure 2: Optimized designs and streamtraces at various  $Ri$  for constant  $Re = 400$ . Orange corresponds to solid material and purple corresponds to the fluid domain.

271 chose  $\alpha_0 = 20$  and set  $\alpha_{max}$  to  $2 \cdot 10^5$  keeping in mind that similar results  
 272 have been obtained for  $\alpha_{max} = 10^6$ .

273 Figure 3a shows the vertical velocity profile at the entrance of the channel  
 274 for the two values of  $Re$ . For this study, we chose different values of  $\omega$  in  
 275 accordance with the importance given to the different costs function  $\mathcal{J}_1$  or  
 276  $\mathcal{J}_2$ . All results performed in this paper correspond to physical quantities,  
 277 that is  $\mathcal{J}_1$  and  $\mathcal{J}_2$ . Moreover, in order to be sure that no material is added  
 278 at the entrance of the channel during the optimization process, we solved

the problem by imposing fluid domain at the lower part of the channel, i.e.  
 $\alpha = 0$  for the element in  $[0, 1] \times [0, 1]$ .

### 5.1. Varying Ri at constant Re = 400

It can be seen that the obtained designs at varying Ri (Figure 2) differ from one another, which is to be expected.

First of all, when the natural convection forces become more dominant, the optimization algorithm adds more material in the channel. We compute the proportion  $Q_t$  of material added in the domain  $\Omega$  as follows:

$$Q_t = \frac{\int_{\Omega} h_{\tau}(\alpha) d\Omega}{\alpha_{max} V_{tot}}, \text{ where } V_{tot} \text{ is the total volume of } \Omega. \quad (17)$$

The proportion of material added in the vertical channel varies from 4.9% to 52.2%. It is referenced on Figure 2. Hence, the quantity of material increases when Richardson number increases.

Secondly, we can observe that the structure of the flow in the channel is modified. From Figure 2c, it can be seen that for Ri = 400, all of the material is kept close to the right wall of the domain and the flow circulation is obliged to be near the heated wall. This contributes to the second objective function corresponding to increase the thermal exchanges in the channel. Besides, temperature profiles at the exit of the channel are shown on Figure 3b.

It can also be observed that the flow reversal is suppressed after optimization process. Indeed, material added by the algorithm at the end of the channel prevent the fluid from re-entering in the channel. As can be seen on Figure 4, vertical component of the velocity has a positive value in the channel after optimization and is null or very small in the solid region, as expected. That means our interpolation function gives an optimized design

299 with no physical error as a non-null velocity in the solid regions without con-  
 300 nectivity (Kreissl and Maute [45] and Lee [28]). Moreover, value of vertical  
 301 component of the velocity increases when Ri increases (cf. Figure 3b). That  
 302 is due to the reduction of the section for the flow circulation which causes an  
 303 acceleration of the fluid in the channel. The width of flow circulation after  
 304 optimization is referenced on Figure 5. It demonstrates also that the sigmoid  
 305 function  $h_\tau(\alpha)$  which interpolates the design variable  $\alpha$  affects correctly vol-  
 306 ume elements to solid domains in order to avoid checkerboards. That brings  
 307 to a well definition of the frontier fluid-solid as obtained by Ramalingom  
 308 et al. [10].

309 With regard to cost functions computation at the end of the optimization  
 310 process, we highlight the influence of Ri on thermal power and mechanical  
 311 power. Indeed, as the Richardson number increases, the power due to work  
 312 forces decreases and the thermal power in the channel increases. Figure 6  
 313 gives the computation of cost functions before optimization process and after  
 314 the optimization. Hence,  $\mathcal{J}_1$  is reduced by a factor 1.64 and  $\mathcal{J}_2$  is reduced  
 315 by a factor 1.51 (Table 2) for  $Re = 400$  and for  $Ri = 100$ . When we compare  
 316  $\mathcal{J}_1$  to its value without optimization  $\mathcal{J}_{1Ref}$ , we notice that sometimes the  
 317 optimization algorithm added material which contributes to rising friction  
 318 forces and pressure losses as long as the heat dissipation increases. Hence,  
 319 for the case  $(Re, Ri) = (400, 200)$ ,  $\mathcal{J}_1$  is reduced by a factor 1.13 while  $\mathcal{J}_2$  is  
 320 increased by a factor 0.46. On the contrary, for the case  $(Re, Ri) = (400, 400)$ ,  
 321  $\mathcal{J}_1$  is increased by a factor 0.26 while  $\mathcal{J}_2$  is reduced by a factor 0.64. These  
 322 cases illustrated that our algorithm permits to add material in the channel in  
 323 order to contribute to one or other cost functions according to the weighted

coefficient  $\omega$ . Hence, for the case  $(\text{Re}, \text{Ri}) = (400, 200)$ , we chose to prioritize the minimization of mechanical power with  $\omega = 0.85$ . For the case  $(\text{Re}, \text{Ri}) = (400, 400)$ , we chose to prioritize the maximization of heat transfer with  $\omega = 0.15$ . We can conclude that the algorithm succeeds to minimize/maximize one or other cost functions by adding material without penalizing too much to one or other.

Figure 7 shows the computation of thermal power and mechanical power at each iteration of the optimization process. We can compare the evolution throughout iterations for the classical cost functions of the literature (Figure 7a) and for the cost functions proposed in our study (Figure 7a). Contrary to the cost functions (6) and (7), classical cost functions (4) and (5) present an oscillatory behavior when applied to our dominated-natural-convection problem. These instabilities lead to important oscillations of the adjoint pressure and the adjoint velocity (cf. Equation (13)). Moreover, as mathematical sign of velocity switches, our algorithm adds material in the domain in accordance with the optimality condition (cf. Equation (3)). Therefore, these numerical instabilities lead to a optimized design with a lot of quantity of material (Figure 2d) evaluated at  $Q_t = 45.03\%$ . Hence, we can conclude that the new expression of both cost functions gives a better stability of the computation at the end of the optimization process.

## 5.2. Constant $\text{Ri} = 400$ and $\text{Re} = 200$

In Li et al. [44], the authors considered the case  $\text{Re} = 200$  and  $\text{Ri} = 400$ , which gives a dimensionless length of the reversal flows the most important of their study. As for  $\text{Re} = 400$ , we observe that the reversal flow is suppressed (cf. Figure 8b). A lot of material is added in the channel computed at

(Re, Ri)	(400, 100)	(400, 200)	(400, 400)	(200, 400)
$\mathcal{J}_{1\text{ref}}/\mathcal{J}_1$	1.64	1.13	0.26	3.60
$\mathcal{J}_{2\text{ref}}/\mathcal{J}_2$	1.51	0.46	0.64	3.09

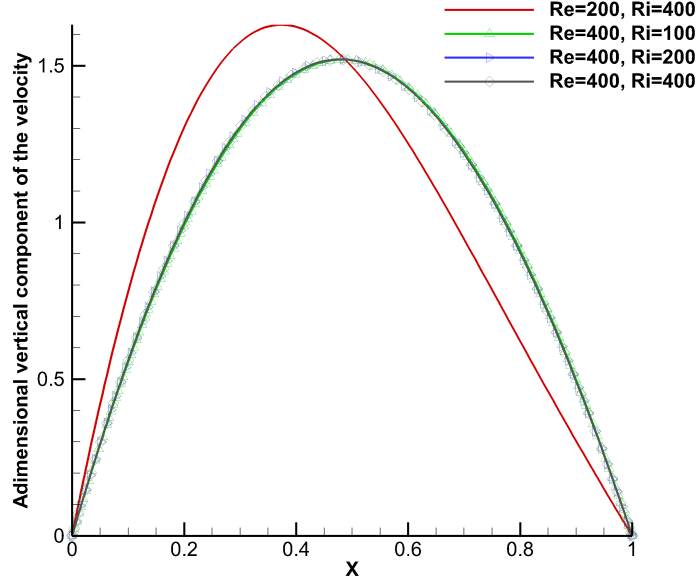
Table 2: Reduction factor of cost functions - ref corresponds to the value of cost functions without optimization

349  $Q_t = 53.5\%$  . The section for the circulation flow is also reduced. It is  
 350 evaluated at  $d = 0.16$ , being the smallest width of circulation flow in this  
 351 study. The flow circulation is thus imposed near the heated wall (cf. Figure  
 352 8a). Temperature field Figure 8b shows that heat surface exchanges are  
 353 increased thanks to the material added by the algorithm. This phenomenon  
 354 contributes to the objective function  $\mathcal{J}_2$ . Table 2 indicates that  $\mathcal{J}_1$  is reduced  
 355 by a factor 3.6 and  $\mathcal{J}_2$  is increased by a factor 3.09, knowing  $\omega = 0.5$  for this  
 356 simulation case. It is important to note that for  $\text{Re} = 200$ , we can observe  
 357 a very low vertical component of the velocity of  $10^{-5}$  in some parts of the  
 358 solid material (cf. 8b). So, when the vertical component of the velocity is  
 359 higher, more material is added such as the section for the circulation flow is  
 360 smaller. Velocity at the exit is higher and the thermal/mechanical power is  
 361 respectively increased/reduced by a factor approximately 3.

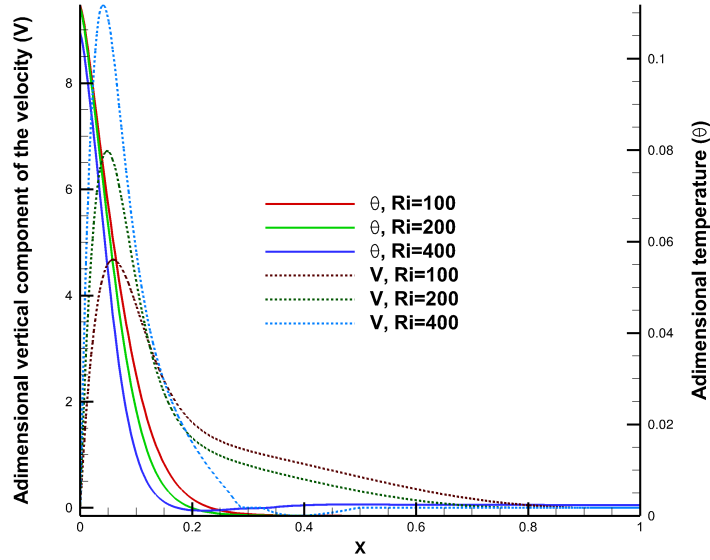
## 362 6. Conclusion

363 A multi-physics optimization problem considering both pressure drop  
 364 minimization and heat transfer maximization in the asymmetrically heated  
 365 channel has been examined. The problem is handled in natural convection  
 366 with several values of Richardson number. First of all, two objective func-

tions are investigated representing the work of forces for the mechanical power and heat exchanges with the thermal power. In accordance with the physical problem considered, a weighted coefficient is chosen for the combined cost function. These functions allow to obtain optimal designs and they are relatively reduced in accordance with the weight affected to each of them. Several conclusions have been drawn. First of all, the reversal flow in the channel is suppressed at the end of the optimization. That contributes to reducing the loss of charges in the channel. Then, the new cost functions contribute to avoid the use of filter techniques as no numerical instabilities are observed. The stability of the computation at the end of the optimization process is better than this obtained with classical cost functions of the literature. For  $Re = 400$ , vertical component of the velocity increases when  $Ri$  number increases. The section for the circulation of flow is reduced. Concerning the fluid-solid boundary, they are well-defined during the optimization process thanks to two sigmoid functions used for the interpolation of both the design variable and the thermal diffusivity. Finally, the optimization algorithm is able to increase thermal exchanges while maintaining the loss of charges due to friction, thanks to the combined objective functions used. In conclusion, this study highlights the importance of the expression of cost function in a topology optimization problem. The influence of the Richardson is observed on vertical velocity value and on the quantity of material added in the optimized channel. As future work, we suggest a more complete heat and mass transfer model might be considered, as pure natural convection problems and radiation problems.



(a)



(b)

Figure 3: Adimensional vertical component of the velocity for  $Re = \{200, 400\}$  (a), Temperature and vertical component of the velocity (b) at the end of the hot plate of the channel  $y = 3H/2$

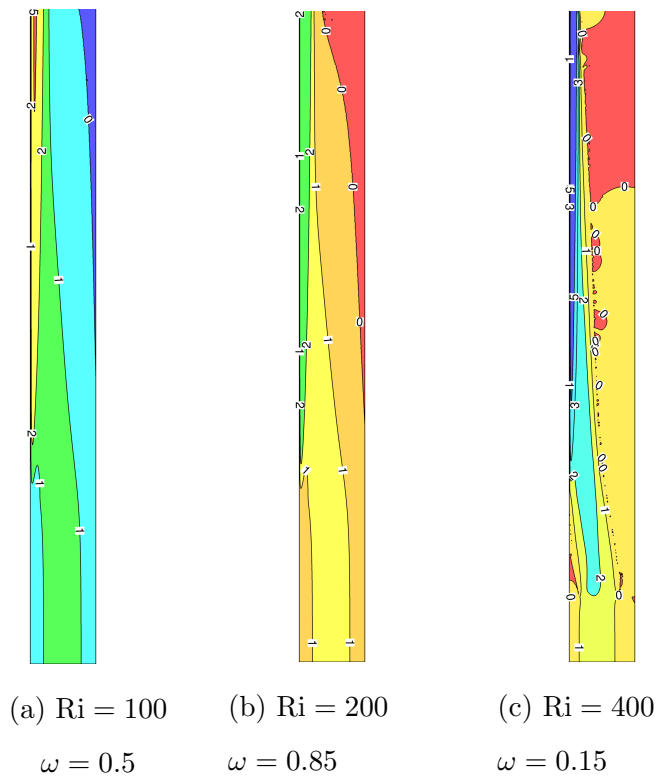
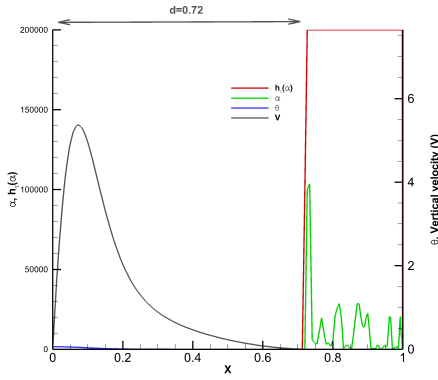
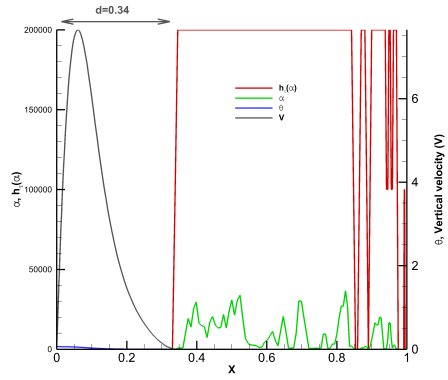


Figure 4: Adimensional vertical velocity at various  $Ri$  for constant  $Re = 400$

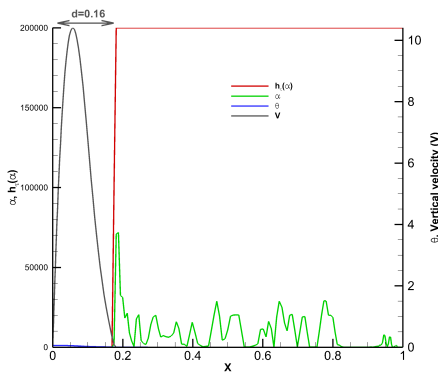




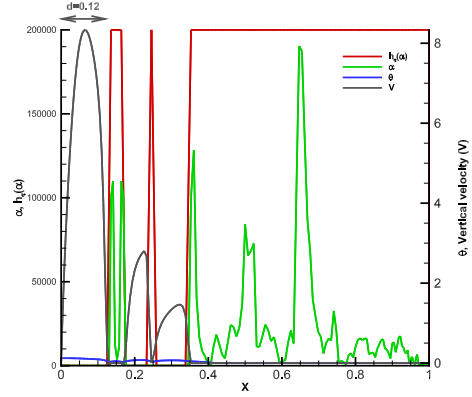
(a)  $Re = 400, Ri = 100$



(b)  $Re = 400, Ri = 200$

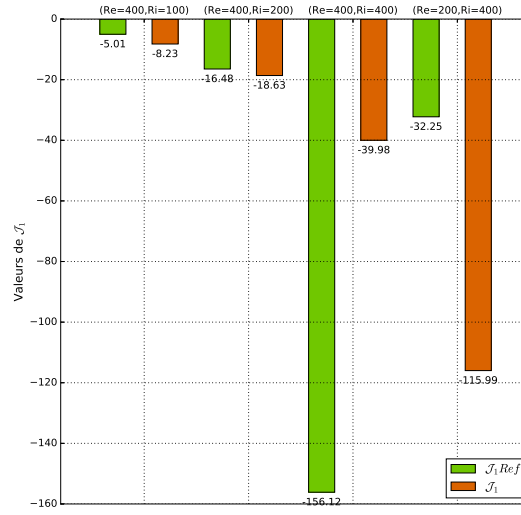


(c)  $Re = 400, Ri = 400$

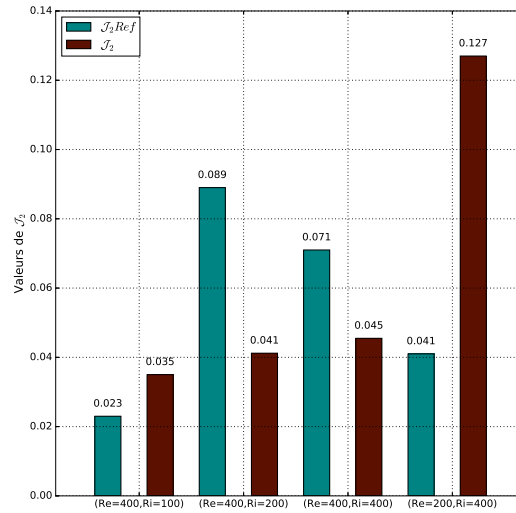


(d)  $Re = 200, Ri = 400$

Figure 5: Adimensional temperature, vertical velocity,  $\alpha$  and  $h(\alpha)$  at the end section of the channel and for various  $Ri$  for constant  $Re = 400$  - annotation  $d$  is used for the width of the flow section

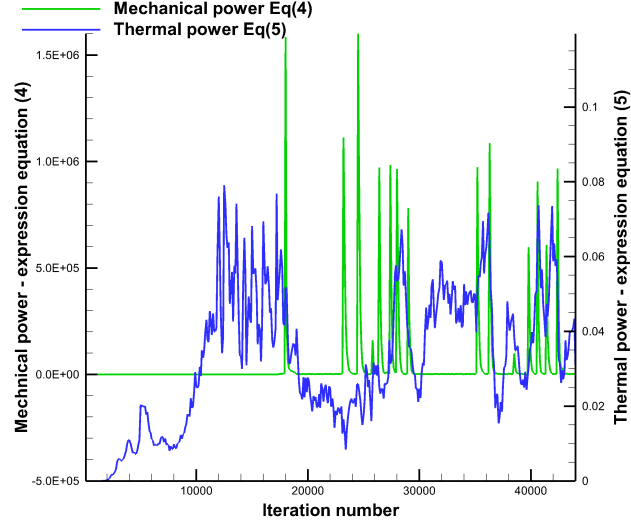


(a)  $\mathcal{J}_1$  values

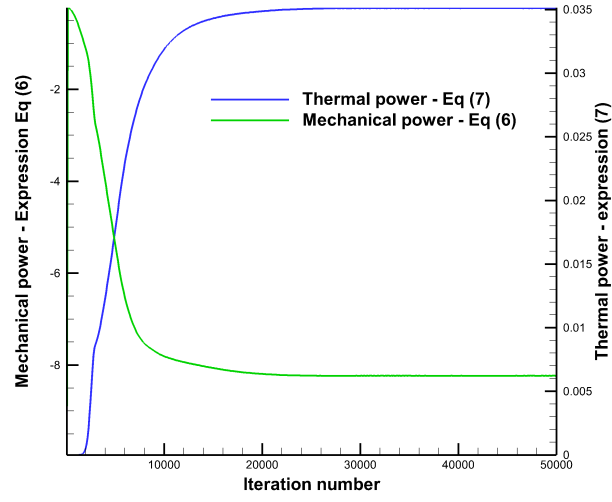


(b)  $\mathcal{J}_2$  values

Figure 6: Mechanical power (a) and thermal power (b) without optimization noticed Ref and after optimization



(a) Values of classical cost functions (4) and (5) over iterations for the minimization of  $\hat{\mathcal{J}}$



(b) Values of new cost functions (6) and (7) over iterations for the minimization of  $\hat{\mathcal{J}}$

Figure 7: Comparison of cost functions computations throughout iterations -  $\text{Re} = 400$ ,  $\text{Ri} = 100$ ,  $\omega = 0.5$

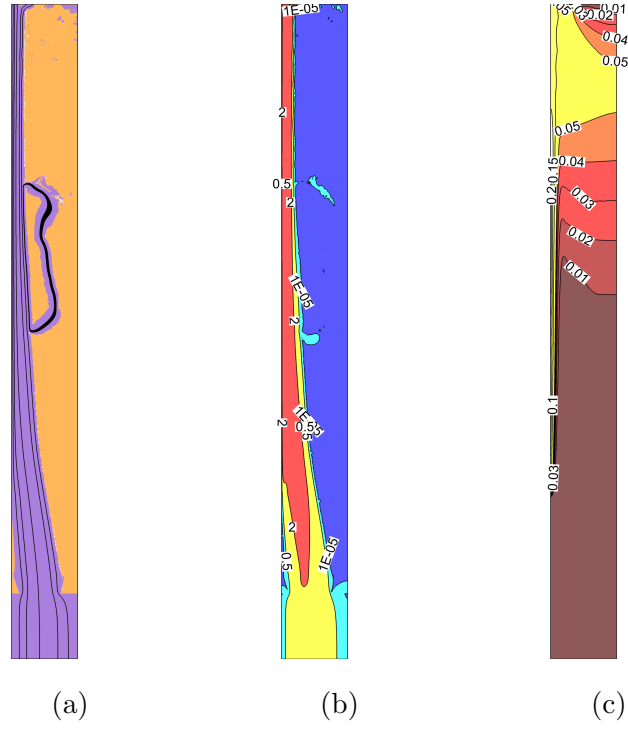


Figure 8: Optimization results for constant  $Re = 200$  and  $Ri = 400$ : Optimized design,  $\omega = 0.5$  (a), Adimensional vertical component of the velocity (b), Adimensional temperature field (c)

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