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# Red colorants from filamentous fungi: Are they ready for the food industry?

Laurent Dufossé<sup>1,2</sup>

<sup>1</sup> Laurent Dufossé, Laboratoire de Chimie des Substances Naturelles et des Sciences des Aliments, ESIROI Agroalimentaire, Université de La Réunion, F-97490 Sainte-Clotilde, Ile de La Réunion, France

<sup>2</sup> Laboratoire ANTiOX, Université de Bretagne Occidentale, Pôle Universitaire Pierre-Jakez Hélias, Quimper, France

**Correspondence:** laurent.dufosse@univ-reunion.fr (L. Dufossé)

## Abstract

Food components of microbial-origin have a long history in food science and the food industry. Thickening and gelling agents, flavour enhancers, polyunsaturated fatty acids, flavour compounds, vitamins, essential amino acids, and acidulants are some examples of such ingredients. This paper will provide an update on the current worldwide situation for four different fungal reds: (i) carotenoid lycopene (simple compound, complex current status); (ii) molecular biology on *Monascus* to avoid mycotoxin and cholesterol-lowering substance in pigmented extracts; (iii) newcomers with azaphilone-producing fungi such as *Talaromyces atroroseus*, *Penicillium purpurogenum*, and *Talaromyces albobiverticillius*; and (iv) anthraquinones as a possible alternative to the insect-sourced carmine. The future of

21 *Monascus* in Europe and the USA is just around the corner, and markets will appear as soon  
22 as the citrinin issue has been solved, with the help of the current better knowledge of full  
23 genomes from industrial strains. Fungi bring a new class of pigments to the food industry, as  
24 azaphilones are not present in plants. These azaphilone-producing strains should now be  
25 thoroughly studied through liquid fermentation of *Penicillium/Talaromyces* strains, with  
26 optimized scale-up. A fungal alternative to carmine insect anthraquinone is further away from  
27 the market, however, due to the particular stability of this vibrant red in foods; research efforts  
28 should be intensified.

29 **Keywords:** Red pigments; Food ingredient; Colorant; Carotenoid; Lycopene; Azaphilone;  
30 Anthraquinone; *Blakeslea*; *Monascus*; *Talaromyces*

## 31 **1 You're already eating microbial metabolites all day long**

32 Ingredients derived from microbial fermentation are steadily gaining ground in the food  
33 industries. Thickening or gelling agents (e.g. polysaccharides such as xanthan, curdlan,  
34 gellan), flavour enhancers (yeast hydrolysate, monosodium glutamate), polyunsaturated fatty  
35 acids (PUFAs), flavour compounds (gamma-decalactone, diacetyl, methyl-ketones), vitamins,  
36 essential amino acids, and acidulants (lactic acid, citric acid) are some examples illustrating  
37 this trend (Mc Neil et al., 2013). Efforts have been made, and are continuing, to reduce the  
38 production costs of pigments produced by microbial fermentation, since synthetic pigments or  
39 those extracted from natural plant sources can often be produced more economically  
40 (Dufossé, 2008; Dufossé, 2017a). The successful marketing of natural pigments such as  $\beta$ -  
41 carotene, lutein, and astaxanthin derived from microalgae (i.e. non-conventional sources) or  
42 extracted from plants (conventional sources), both as food colorants and nutritional  
43 supplements, reflects the presence and importance of niche markets in which consumers are  
44 willing to pay a premium for 'natural healthy ingredients'.

45 Among other non-conventional sources, filamentous fungi are known to produce an  
46 extraordinary range of pigments that include several chemical classes such as carotenoids,  
47 melanins, azaphilones, anthraquinones, flavins, phenazines, quinones, and more specifically,  
48 violacein and indigo (Dufossé, 2008). The success of any class of pigment produced by  
49 fermentation depends on its acceptance by the consumers, regulatory approval, and the capital  
50 investment required bringing the product onto the market. Twenty years ago, influential  
51 representatives from the food industry expressed doubts about the successful  
52 commercialization of algae-derived and fermented food grade pigments due to the high  
53 investment required for open ponds, photo-bioreactors and fermentation facilities, and the  
54 extensive and lengthy toxicity studies required by the regulatory authorities. Poor public  
55 perception of fungal-derived products for food use also had to be taken into account  
56 (Milićević et al., 2010). Nowadays, some fungal food grade pigments obtained by  
57 fermentation already exist on the market worldwide. Among them, fungal *Monascus*  
58 pigments, Arpink red™ (now Natural Red™) produced by *Penicillium oxalicum*, riboflavin  
59 from the mould fungus *Ashbya gossypii*, lycopene and  $\beta$ -carotene from the tropical mold  
60 *Blakeslea trispora*. As an example, the production yield of  $\beta$ -carotene may be as high as  
61 17g/L of the *Blakeslea trispora* culture medium (Dufossé, 2016; Torres et al., 2016).

62 The present opinion paper gives an update about the worldwide current situation for some  
63 fungal reds chosen for the history of their long use in Asia or Europe, the diversity of their  
64 chemical structures, and the strategy of the development from lab research to the market (see  
65 Fig. 1):

- 66 • Carotenoid lycopene (simple compound, long-standing history, complex current  
67 status)
- 68 • Molecular biology on *Monascus* to avoid mycotoxin and cholesterol-lowering  
69 substance in pigmented azaphilone extracts,

- 70           • Newcomers with azaphilone-producing fungi such as *Talaromyces atrovirens*,  
71            *Penicillium purpurogenum*, *Penicillium marneffei*, *Talaromyces albobiverticillius*  
72           • Focus on anthraquinones.

73

74 insert Figure 1

75 To sum up, those who are still afraid of fungal products in food and feed, please have a look  
76 inside your pigmented plant extracts! Are you sure they are mycotoxin free (Solfrizzo et al.,  
77 2015)?

## 78 **2 Fungal red carotenoid already produced on a large scale:** 79 **lycopene from *Blakeslea trispora***

80 In the [European Union](#), the United States of America (USA), Canada, Australia and New  
81 Zealand, pioneering work on large-scale production of fungal colorants has been done on  
82 carotenoids. Academics knew for a long time that fungi belonging to the order Mucorales  
83 were able to produce  $\beta$ -carotene (Ciegler, 1965). The first papers dealing with *Blakeslea*  
84 *trispora* carotenoid production were published in the late 1950s (Ciegler et al., 1959; Ciegler,  
85 1965). It took four decades to move to industrial production, waiting for consumer interest in  
86 natural colorants, and to develop biotechnological techniques. The first fungal carotenoid  
87 launched in Western Europe was  $\beta$ -carotene between 1995 and 2001 (European Commission  
88 directive N° 50/2001), by the Dutch company Gist Brocades (now DSM). Soviet Union  
89 companies had already been doing the same thing in Eastern Europe a decade earlier. As  
90 lycopene is a metabolic intermediate in the biosynthesis of  $\beta$ -carotene, the use of inhibitors  
91 opens the doors for its industrial production, together with the use of lycopene-accumulating  
92 and overproducing mutants. Vitatene, a Spanish company, filed a novel foods and novel food  
93 ingredients application in 2003, to place lycopene from *Blakeslea trispora* on the European

94 market (under Regulation EC N° 258/97). A positive response was published on 23 October  
95 2006 (European Commission decision N° 721/2006).

96 On the market, this biotech colorant has to compete with lycopene extracted from tomato  
97 (E160d(ii), listed in European directive 94/36/EC) and with the cost-effective synthetic  
98 lycopene (E160d(i)), from chemical synthesis. As a result of the increased demand of  
99 consumers for natural and safe food ingredients, the toxicological aspects of biotech lycopene  
100 E160d(iii) was investigated within the framework of a ninety-day oral toxicity study in rats  
101 (Jonker et al., 2003). The results from this study do not provide any evidence of toxicity for  
102 lycopene extracted from the biomass of *Blakeslea trispora* at dietary levels up to 1.0% (w/w,  
103 as a suspension in sunflower oil) as demonstrated by the findings of clinical observations,  
104 neurobehavioral observations, motor activity assessment, body weight and food consumption  
105 measurements, ophthalmoscopic examinations, hematology, clinical chemistry, urinalysis,  
106 organ weights, gross pathology, or histopathology. The No-Observed-Effect Level (NOEL)  
107 was 1.0% (w/w) in the diet, the highest dietary concentration tested (Jonker et al., 2003).

108 Lycopene has received particular attention in recent years as a result of studies that have  
109 reported that it is a highly efficient antioxidant and has a high singlet-oxygen and free-radical  
110 scavenging capacity. Many researchers have shown that lycopene is detected in the plasma  
111 and tissues of humans following incorporation into the daily diet. Such studies indicate that  
112 lycopene is absorbed and subsequently distributed to the tissues. The natural lycopene sales  
113 started on this health market, on functional foods.

114 Average dietary intakes of lycopene from foods in different populations are, according to  
115 dietary surveys, estimated to be between 0.5 and 5 mg/day, with high intakes up to about 8  
116 mg/day. High intakes of fruit and vegetables, especially tomato products, may result in  
117 occasional intakes of 20 mg/day or more.

118 In 2005 Vitatene company informed the European Food Safety Authority (EFSA) that use  
119 levels of lycopene from *B. trispora* in foodstuffs would lead to an additional intake of up to  
120 about 2 mg/day. The proposed use level of lycopene in food supplements would give rise to  
121 an additional intake of 20 mg/day. To date, no long-term feeding studies conducted with  
122 lycopene extracted from the microorganism *B. trispora* have been performed. The  
123 toxicological data on  $\alpha$ -tocopherol containing oil suspensions of lycopene from *B. trispora*  
124 (90-day oral feeding study) are not sufficient to derive an acceptable daily intake (ADI).  
125 EFSA concluded at that time that  $\alpha$ -tocopherol-containing an oil suspension of lycopene,  
126 obtained from *B. trispora*, for use as a novel food ingredient in foodstuffs leading to an  
127 additional intake of up to about 2 mg/day was not of concern from the safety point of view.  
128 However, this does not hold for the proposed levels of use of lycopene in foods that would  
129 give rise to an additional intake of 20 mg per day.

130 The true use of lycopene as a food colorant is a more complex situation. In Europe, EFSA  
131 currently allows this use within the framework of an Acceptable Daily Intake (ADI) of 0.5  
132 mg/kg body weight (bw)/day based on No-Observed-Adverse-Effect Level (NOAEL)  
133 published data. In 2009 the FAO/WHO Joint Expert Committee on Food Additives (JECFA)  
134 replaced the group ADI of 0-0.5 mg/kg bw with a group ADI 'not specified' for lycopene  
135 from all sources, creating a divergence (EFSA, 2010). Then, in the USA the Food and Drug  
136 Administration approved a petition from LycoRed company seeking the green light to use  
137 higher levels of tomato lycopene to restore colour to processed meats, giving manufacturers  
138 of sausages, deli meats and jerky an alternative to synthetic FD&C Red #40 (Allura Red AC,  
139 EC 129) and 'bug-derived' carmine (Watson, 2014). The present situation will continue to  
140 evolve and it is possible that biotech lycopene will be clearly allowed in the next  
141 months/years as a true colour in the food industry.

142 To conclude this overview, it is noteworthy to mention that the development of biotech  
143 lycopene took decades (Dufossé, 2017b; Mantzouridou and Tsimidou, 2008) and regulatory  
144 aspects continue to affect its use. Such an impressive complexity with this well-known  
145 carotenoid is just a small ‘sneak peek’ at the huge efforts yet to be accomplished with other  
146 pigmented molecules such as azaphilones and anthraquinones. However, as for some  
147 suppliers, lycopene red is ‘more of an orangish-red, and not a true, vibrant red shade’, and is  
148 ‘also one of the more expensive natural colour options to use’, the scientific community must  
149 continue to investigate for natural reds.

### 150 **3 Towards a safe use of *Monascus***

151 *Monascus* has been used to produce natural colorants and food supplements for more than one  
152 thousand years in Asia, and more than one billion Asian people consume *Monascus*-  
153 fermented products with their daily diet. The first known source reporting the use of these red  
154 colorants was a recipe for the preparation of red pot-roast lamb, in which meat was simmered  
155 with *hong qu* (red rice koji, made with *Monascus purpureus*), as handed down to Qing Yilu in  
156 CE 965. *Monascus* species are known to produce six major azaphilone pigments, namely the  
157 yellow monascin and ankaflavin, the orange monascorubrin and rubropunctatin, and the red  
158 monascorubramine and rubropunctamine. To date, more than 50 different chemical structures  
159 have been identified (Yang *et al.*, 2015), because azaphilones easily combine with nitrogen-  
160 containing compounds. Using next-generation sequencing and optical mapping approaches, a  
161 24.1-Mb complete genome of a *Monascus purpureus* YY-1 industrial strain has been  
162 described for the first time, and this will allow huge improvements in the process in the  
163 coming years (Yang *et al.*, 2015). It consists of eight chromosomes and 7491 genes. *M.*  
164 *purpureus* should belong to the Aspergillaceae, mainly comprising the genera *Monascus*,

165 *Penicillium, and Aspergillus*. Phylogenetic analysis at the genome level provides the first  
166 comprehensive prediction of the biosynthetic pathway for *Monascus* pigments.

167 Comparative genomic analyses demonstrated that the genome of *M. purpureus* is 13.6–40%  
168 smaller than that of closely related filamentous fungi and has undergone significant gene  
169 losses, most of which likely occurred during its specialized adaptation to starch-based foods.  
170 Some polyketide synthases (PKS) are expressed at high levels under high-pigment-yielding  
171 conditions. The citrinin PKS C6.123 has also been found in the genome (Yang *et al.*, 2015),  
172 paving the way for research aiming at non-mycotoxin producing strains, if suppression of the  
173 citrinin gene does not change the ability of the strain to produce pigments, which seems to be  
174 feasible, as described by Fu *et al.* (2007), who have shown that monascorubrin and citrinin are  
175 synthesized by two separate pathways, because when the PKS gene responsible for synthesis  
176 of citrinin was disrupted, red pigment production from the fungus was not affected.

177 Comparative transcriptome analysis revealed that carbon starvation stress, resulting from the  
178 use of relatively low-quality carbon sources, contributed to the high yield of pigments by  
179 suppressing central carbon metabolism and augmenting the acetyl-CoA pool. As for other  
180 pigments produced by biotechnology, the problem is to have enough carbon oriented in the  
181 correct pathway, i.e. the pigment pathway.

#### 182 **4 *Monascus*-like pigments (MLPs) produced by** 183 ***Penicillium/Talaromyces* species**

184 Some species of *Talaromyces* (the teleomorphic (sexual) stage of *Penicillium*) secrete large  
185 amounts of red pigments. In the literature, this biosynthetic potential has been linked to  
186 species such as *Talaromyces purpurogenus*, *T. albobiverticillius*, *T. marneffeii*, and *T.*  
187 *minioluteus*, often known under their previous *Penicillium* names (e.g. *Penicillium* sp. from

188 Japan, Ogihara *et al.*, 2000). However, some of them do not exert enough stability for  
189 pigment production, and should then be avoided for scaled-up production (Figure 2).

190 Woo *et al.* (2014) from Hong Kong investigated another filamentous fungus, *Penicillium*  
191 (*Talaromyces*) *marneffeii*, for production of azaphilones exhibiting black, yellow and red hues.  
192 The polyketide gene cluster and biosynthetic pathway were reported for monascorubrin in this  
193 red pigment-producing, thermal dimorphic fungus, taking advantage of available genome  
194 sequence and faster growth rate compared to *Monascus* species (Woo *et al.*, 2014). The red  
195 pigment of *P. marneffeii* has been shown to consist of a mixture of more than 16 chemical  
196 compounds, which are amino acid conjugates of monascorubrin and rubropunctatin, as amino  
197 acids can be conjugated under specific conditions without enzymatic catalysis, i.e. by Schiff  
198 base formation (Woo *et al.*, 2014).

199 The aforementioned polyketide gene cluster and pathway have also been shown to be  
200 responsible for the biosynthesis of ankaflavin and citrinin, the latter being a mycotoxin  
201 exerting nephrotoxic activity in mammals (Kumar *et al.*, 2014). Twenty-three putative PKS  
202 genes and two putative PKS-non-ribosomal peptide synthase hybrid genes were identified in  
203 the *P. marneffeii* genome (Woo *et al.*, 2014). Woo *et al.* (2014) systematically knocked out all  
204 25 PKS genes of *P. marneffeii*. They also knocked out genes located up- and downstream of  
205 the PKS gene responsible for red pigment production, and characterized the pathway for  
206 biosynthesis of the red pigment. However, it is still questionable whether it will be possible to  
207 produce mevinolin/lovastatin-free (a cholesterol-lowering drug that is undesirable in normal  
208 foods) and citrinin-free red pigments from *P. marneffeii*, as the latter, a mycotoxin, appears to  
209 be an early byproduct of the biosynthetic pathway.

210 Isolates identified as *T. purpurogenus* have been reported to be of industrial interest (some of  
211 which are investigated in Mexico, Morales-Oyervides *et al.*, 2015). They can produce

212 extracellular enzymes and red pigments, but may also produce mycotoxins such as rubratoxin  
213 A and B and luteoskyrin in addition to extrolites that may be toxic following intraperitoneal  
214 (spiculisporic acid) and intravenous (rugulovasine A and B) injections in cats (Frisvad *et al.*,  
215 2004). Consequently, mycotoxin production may limit the use of isolates of a particular  
216 species in biotechnology, and Frisvad *et al.* (2013) concluded that *Talaromyces purpurogenus*  
217 may thus not be recommended for industrial production of red pigments. *Talaromyces*  
218 *atoroseus* sp. nov., described by the same group from Denmark, produces the azaphilone  
219 biosynthetic families mitorubins and *Monascus*-like pigments without being accompanied by  
220 mycotoxin synthesis (patent applications WO2012022765 Mapari *et al.*, 2012,  
221 US20110250656 Mapari *et al.*, 2011). As it has been found for *Monascus*, these azaphilone  
222 pigments may react with amino groups containing compounds, to which reaction they owe  
223 their name, providing intense dark red colours (Mapari *et al.*, 2010; Gao *et al.*, 2013).

224 A strain of *Talaromyces albobiverticillius* isolated in the lagoon from Réunion Island, Indian  
225 Ocean, is currently being developed and optimized for red azaphilones production (please  
226 refer to the two papers from this Pigments in Food congress, *Journal of Food Composition*  
227 *and Analysis*, current special issue: Fouillaud M. et al., Production of pigments from the  
228 tropical marine-derived fungus *Talaromyces albobiverticillius*: new resources for red natural  
229 coloured metabolites; Venkatachalam M., Partial characterization of the pigments produced  
230 by the marine-derived fungus *Talaromyces albobiverticillius* 30548. Towards a new fungal  
231 red colorant for the food industry).

232 insert Figure 2

## 233 **5 The anthraquinone quest**

234 Anthraquinones are widely spread throughout the kingdom of fungi (Caro *et al.*, 2016), and  
235 thus, the latter might serve as alternative sources since they are independent of agro-climatic

236 conditions, in contrast to plant- and animal-derived sources. For example, anthraquinones  
237 were found in *Aspergillus* sp., *Eurotium* sp., *Fusarium* sp., *Dreschlera* sp., *Penicillium* sp.,  
238 *Emericella purpurea*, *Curvularia lunata*, *Mycosphaerella rubella*, *Microsporum* sp., etc.  
239 (Caro *et al.*, 2012; Gessler *et al.*, 2013).

240 Anthraquinones exhibit a broad range of biological activities, including bacteriostatic,  
241 fungicidal, antiviral, herbicidal, and insecticidal effects (Gessler *et al.*, 2013). Presumably, in  
242 fungi, these compounds are involved in interspecific interactions. For example,  
243 anthraquinones synthesized by endophytic fungi protect the host plant from insects or other  
244 microorganisms (Gessler *et al.*, 2013).

245 The present picture of fungal anthraquinones is quite complex, with a great variety of  
246 chemical structures, a huge number of factors or parameters which may have an effect on the  
247 composition of quinoidal pigments biosynthesized by a particular species (Fouillaud *et al.*,  
248 2016). Among them, e.g. habitat, light, pH, temperature, O<sub>2</sub> transfer, liquid/solid media,  
249 culture medium, C and N sources, C:N ratio, presence of organic acids, mineral salts, and  
250 inoculum have been considered (Caro *et al.*, 2012).

251 Today, research places the priority on a small number of fungal anthraquinone-producing  
252 species meeting the following profile of requirements established by Mapari *et al.* (2009)  
253 during the identification of potentially safe fungal cell factories for the production of  
254 polyketide natural food colorants using chemotaxonomic rationale:

- 255 • the fungus shall be non-pathogenic to humans;
- 256 • the fungus shall be non-toxigenic under a broad range of production conditions; and
- 257 • the fungus shall be able to be produced in liquid media.

## 258 **6 Plant-pigment preparations may contain fungal metabolites**

259 For most people, plants for food use appear safe, and they appear as sources of pristine  
260 ingredients. This is not always true, and we mention here a grape byproduct, namely grape  
261 pomaces, as an example. Grape pomaces are used as plant food supplements and food  
262 colourings (anthocyanins). Unfortunately, these grape pomaces can be contaminated by  
263 ochratoxin A (OTA), a mycotoxin produced mainly by *Aspergillus carbonarius* on grape  
264 berries on the vine. OTA possesses nephrotoxic, immunosuppressive, teratogenic, and  
265 carcinogenic properties.

266 Levels of contamination are presented in a nice paper from Solfrizzo et al. (2015). OTA was  
267 found in 69% of food colouring samples at levels between 1.16 and 32.00 µg/kg. The  
268 situation appear so bad (high incidence of OTA contamination) that the authors recommend to  
269 established maximum permitted levels for this mycotoxin in food colouring agents derived  
270 from *Vitis vinifera*.

271 There is also much awareness in the wine industry where OTA decontamination of musts and  
272 wines is under study, using inactivated yeasts or yeast cell walls (Petruzzi et al., 2015). Since  
273 vintage year 2006, with the adoption of Regulation CE123/05, the level of OTA in  
274 commercial wines cannot exceed 2µg/L, but many trade agreements usually require lower  
275 limits (e.g. 0.5µg/L).

## 276 **7 Conclusion: Simple messages I wanted to deliver**

277 These four examples of fungal reds for the food industry describe diverse situations, from  
278 products already on the market (lycopene from *Blakeslea*, red rice from *Monascus*) to  
279 products still under development (azaphilones from *Penicillium/Talaromyces*,  
280 anthraquinones). The future of *Monascus* in Europe and the USA exists, and markets will

281 appear when the citrinin issue has been resolved with the help of the current better knowledge  
282 of full genomes from industrial strains. Fungi bring a new class of pigments to the food  
283 industry, because azaphilones are not present in plants. These azaphilone-producing strains  
284 must now be better studied through liquid fermentation of *Penicillium/Talaromyces* strains,  
285 with optimized scale-up. A true fungal anthraquinone alternative to the insect-based carmine  
286 is further away from the market, however, due to the nice stability of this vibrant red in foods,  
287 and research efforts should be continued and intensified.

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386

387 **List of captions**

388 **Figure 1. Fungal reds *i*) already in use in the food industry (carotenoid lycopene from**  
389 ***Blakeslea*, polyketides from *Monascus*), *ii*) at development stage (*Monascus*-like pigments from**  
390 ***Talaromyces* species, hydroxy-anthraquinones from many fungi).**

391 **TOP LEFT: mycelium (morphological detail) of *Blakeslea trispora*; chemical formula of the**  
392 **carotenoid lycopene; red-colored foods using fungal lycopene.**

393 **TOP RIGHT: *Monascus purpureus* growing on a Petri dish; chemical formula of the polyketide**  
394 **monascorubrin.**

395 **BOTTOM LEFT: microscopic view (morphological detail) of *Talaromyces* sp.; chemical formula**  
396 **of N-threonine monascorubramine.**

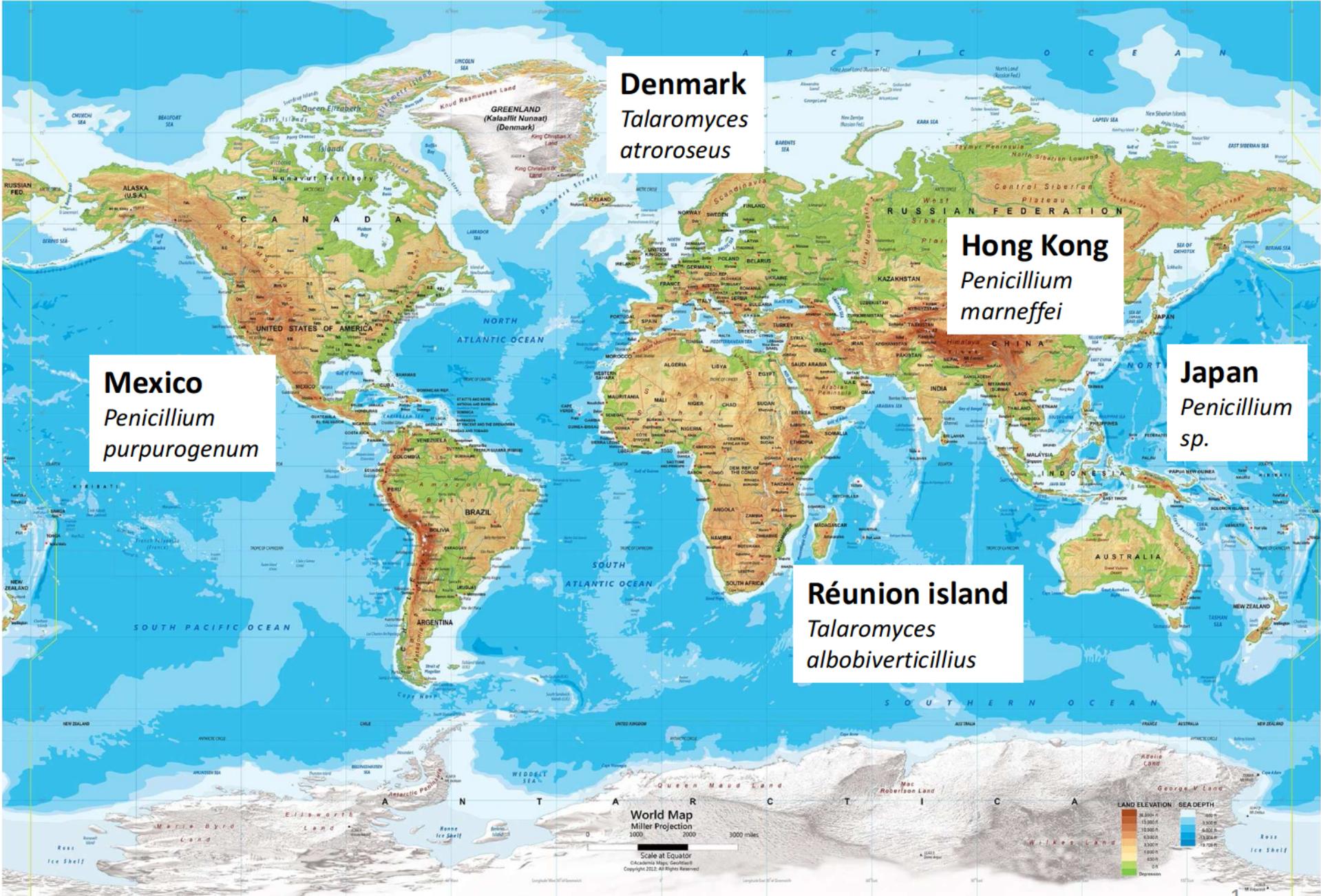
397 **BOTTOM RIGHT: mycelium (morphological detail) of *Eurotium cristatum*; chemical formula of**  
398 **erythroglaucin; foods which could be colored by the red hydroxy-anthraquinones.**

399 **(for color view, please refer to the online version of this article).**

400 **Figure 2. Food-oriented fungal reds that emerged independently at a world level (initial**  
401 **genus/species<sup>a</sup> and geographical location).**

402 <sup>a</sup> Taxonomic rearrangements have occurred since the first publications about some of the microorganisms. (Please refer to the  
403 main text for references of the researches conducted in each country).





**Denmark**  
*Talaromyces atroroseus*

**Hong Kong**  
*Penicillium marneffeii*

**Mexico**  
*Penicillium purpurogenum*

**Japan**  
*Penicillium sp.*

**Réunion island**  
*Talaromyces albobiverticillius*

World Map  
Miller Projection  
Scale at Equator  
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